

INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
 Attorney: Ronald Costlick - 027756-0101  
 FOLEY & LARDNER - (310) 975-7964  
 Sheet 1 of 46

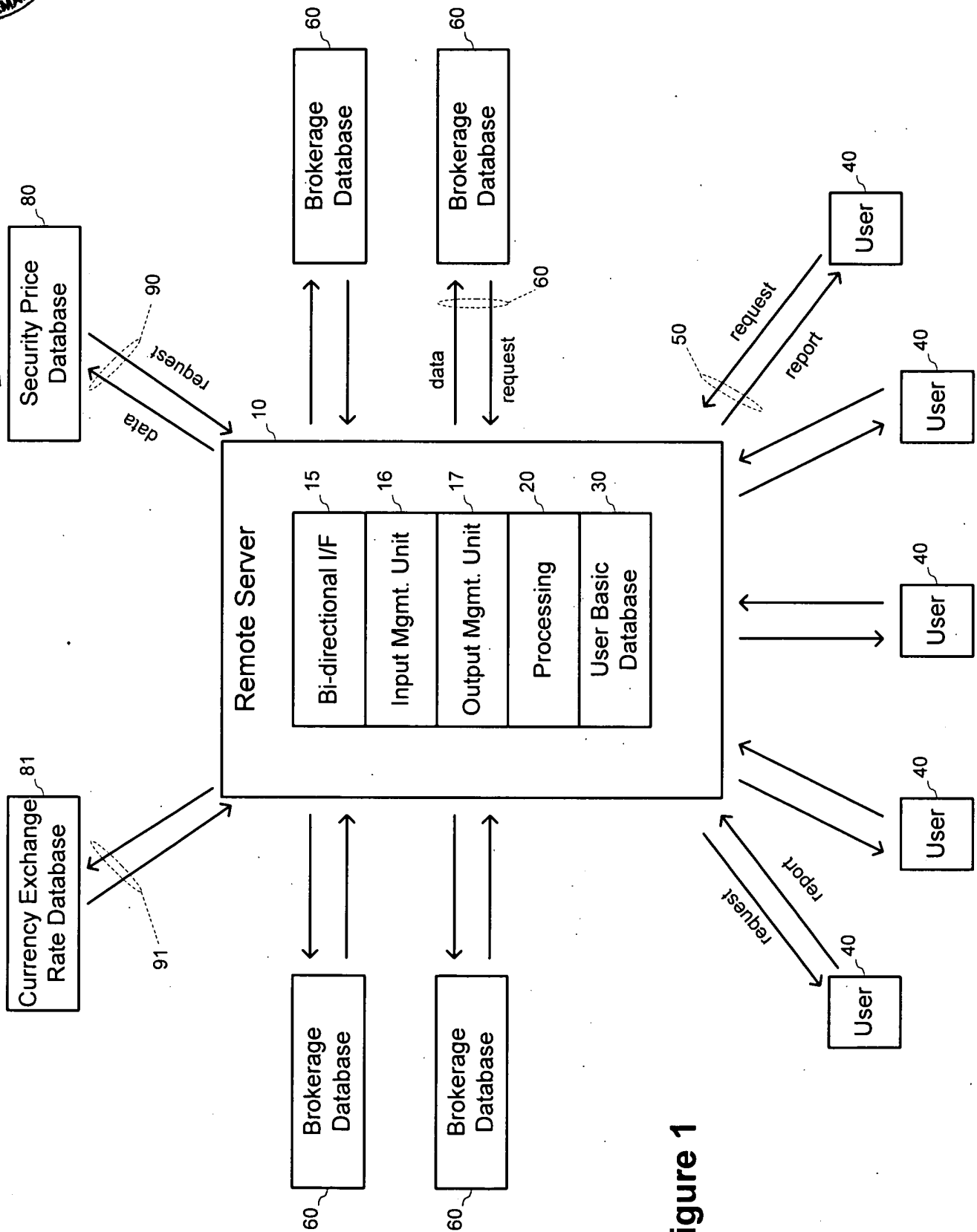


Figure 1



# REPLACEMENT SHEET

INVESTMENT PORTFOLIO TRACKING SYSTEM AND  
METHOD

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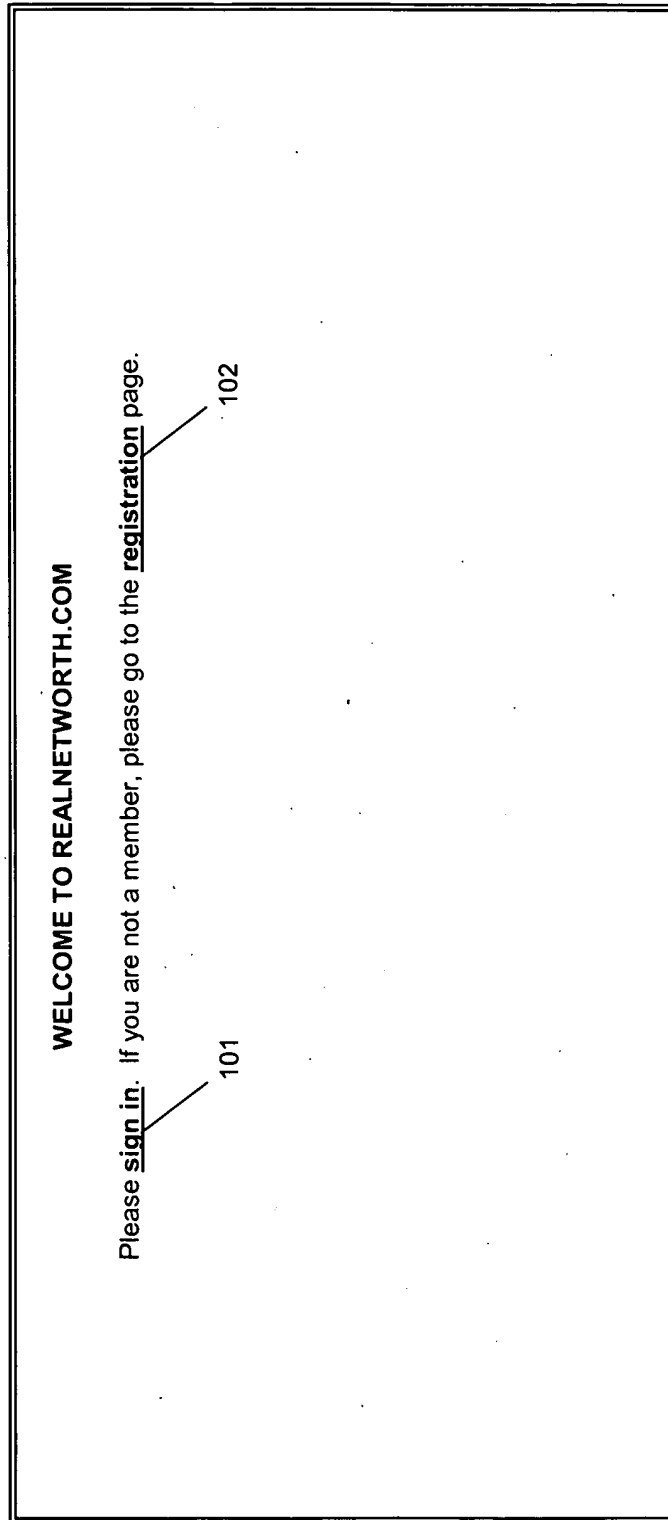


Figure 2

REPLACEMENT SHEET

INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
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REGISTRATION		
Please select a username and password:		
Username:	<input type="text"/>	
Password:	<input type="text"/>	
Please enter your contact information:		
Company name (if any):	<input type="text"/>	
Address:	<input type="text"/>	
Telephone:	<input type="text"/>	
E-mail:	<input type="text"/>	
Specify the service plan you would like sign up for:		
<input type="radio"/> Consultant	\$ ____/mo.	
<input type="radio"/> Professional	\$ ____/mo.	
<input checked="" type="radio"/> Individual	\$ ____/mo.	
Specify payment method:		
<input type="radio"/> Visa	<input type="radio"/> MasterCard	<input type="radio"/> American Express
Specify credit card number and expiration date:		
<input type="text"/>	<input type="text"/>	Exp. <input type="text"/>
Credit Card Number		
<input type="button" value="SUBMIT"/>	<input type="button" value="RESET"/>	

Figure 3

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee

Attorney: Ronald Coslick - 027756-0101

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Sheet 4 of 46

### GENERAL INFORMATION

User:

Company:

Tax Rates:

State

Short

Federal

Long

Interest Compounded:

Daily ☒

Monthly ☐

Portfolios:

AWC

XYZ

ALL

121

View

122

Add

123

Edit

124

Delete

125

Tax Payments

126

Manual Inputs

Figure 4

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

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PORTFOLIO ADD	
Portfolio Name:	<input type="text"/>
Select portfolio tracking method for this portfolio:	
<input checked="" type="radio"/> Automatic	<input type="radio"/> Manual
Portfolio Location:	<input type="text"/>
Account ID:	<input type="text"/>
Password:	<input type="text"/>
<input type="button" value="SUBMIT"/>	
<input type="button" value="CANCEL"/>	

Figure 5

# REPLACEMENT SHEET

INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

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TAX PAYMENTS				
Date	State	Federal		Balance
6/13/1999	400.00			400.00
6/15/1999		1,000.00		1,400.00
				1,400.00
				<u>1,400.00</u>

Figure 6

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

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BOUGHT / TRANSFERRED-IN			
Reference Number	28231	Symbol	HSY
Bought Date	4/7/1999	Portfolio Name	AWC
Exchange	NYSE	Type	Common
<div> <div> <div>Bought Price</div> <div>55.688</div> </div> <div> <div>Shares</div> <div>100.0</div> </div> <div> <div>Commissions</div> <div>50.00</div> </div> <div> <div>Other Costs</div> <div>12.50</div> </div> <div> <div>Settlement Amount</div> <div>5,631.30</div> </div> </div> <div> <div>Select One:</div> <div> <div>Long Position</div> <div><input checked="" type="radio"/></div> </div> <div> <div>Short Position</div> <div><input type="radio"/></div> </div> <div> <div>Bought Disbursements:</div> <div>Cash Disbursed</div> <div>2,631.25</div> </div> <div> <div>Margin Proceeds</div> <div>3,000.05</div> </div> <div> <div>Margin Alert %</div> <div>45.00%</div> </div> </div>			

Figure 7A

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

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SOLD FORM				
Reference Number	Symbol	HSY	Sold Date	
28231			6/10/1999	
Exchange	Type	Common	Portfolio Name	AWC
Select One:				
Sold Price	53.688		Long Position	<input checked="" type="radio"/>
Shares	100.0		Short Position	<input type="radio"/>
Commissions	35.00		Disbursements Receipts:	
Other Costs	16.43		Cash Disbursed	2,317.32
Disbursement Amount	5,317.37		Margin Proceeds	3,000.05

Figure 7B



# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

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MARGIN CHANGE					
Reference Number	28231	Symbol	HSY	Type	Common
Exchange	NYSE	Portfolio Name	AWC		
Date	Proceeds	Payments			
4/25/1999		2,000.00			
5/10/1999	1,000.00				
0/0/0					
	1,000.00	2,000.00			

Figure 7C

**INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD**  
**Inventors: Arthur Willard Chaffee**  
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**Sheet 10 of 46**

## Figure 7D

# REPLACEMENT SHEET

INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

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STOCK SPLITS							
Reference Number	28231	Symbol	HSY	Type	Common	Portfolio Name	AWC
Exchange	NYSE						
Date	Shares Released	Stock Received	Split Type	Split Ratio			
5/28/1999	100.0	200.0	Normal	2 for 1			
6/2/1999	200.0	100.0	Reverse	1 for 2			
			-				
			-				
	300.00	300.00					

Figure 7E

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

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CASH ACTIVITY				
Date	Deposits	Interest	Withdrawals	Balance
4/2/1999	5,000.00			5,000.00
5/2/1999		33.00		5,033.00
6/7/1999			(3,000.00)	2,033.00
6/10/1999				2,033.00

Figure 7F

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

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Figure 8

Browser Tool Bar 221

File Edit Data Reports Window Help

Back Fwd

### FINANCIAL POSITION REPORT

as of June 30, 1999

Assets		Liabilities	
Cash	15,493 202	Margin	16,000 216
Cash-Net Proceeds on Sold	2554 203	Margin Interest	434 217
Securities Current Basis	100,013 204	Taxes Payable	11,320 218
Reserve for Taxes on Sold	689 205	Cash Invested	59,955 219
		Net Worth	31,040 220
	118,749		118,749

### Profit/Loss Activity

April 1 to June 30, 1999

Total Revenues		Bought Basis		Cash Invested	
Net Gains/Losses	33,000 206		67,013		59,955
Dividends/Interest			49.24%		55.04%
	33,000		0.00%		0.00%
Commissions & Costs	4,182 208		49.24%		55.04%
Margin Interest	552 209		6.24%		6.98%
	4,734		0.82%		0.92%
Profit/Loss before Taxes	28,226 210		7.06%		7.90%
State and Federal Taxes	12,720 211		42.18%		47.15%
Net Worth after Taxes	15,547 212		18.98%		21.22%
			23.20%		25.93%

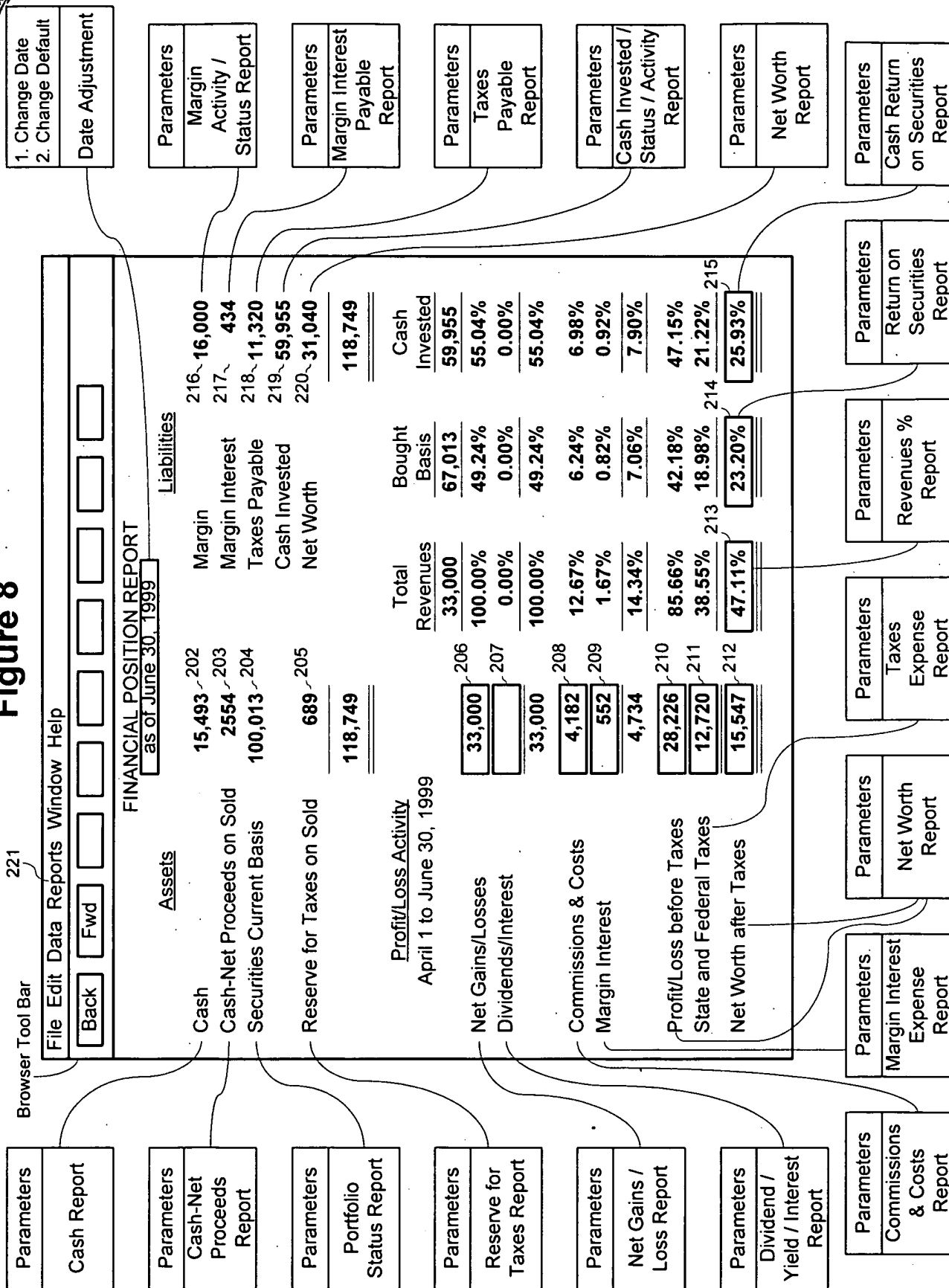
  

### Parameters

1. Change Date	2. Change Default
Date Adjustment	
Parameters	Margin Activity / Status Report
Parameters	Margin Interest Payable Report
Parameters	Taxes Payable Report
Parameters	Cash Invested / Status / Activity Report
Parameters	Net Worth Report
Parameters	Cash Return on Securities Report
Parameters	Return on Securities Report
Parameters	Revenues % Report
Parameters	Taxes Expense Report
Parameters	Net Worth Report
Parameters	Margin Interest Expense Report
Parameters	Commissions & Costs Report
Parameters	Dividend / Yield / Interest Report
Parameters	Net Gains / Loss Report
Parameters	Reserve for Taxes Report
Parameters	Cash-Net Proceeds Report
Parameters	Cash Report



Figure 8



# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

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### BOUGHT / TRANSFERRED IN REPORT PARAMETERS

Date Range  to

Stock Selection:

Long Positions ☐

Short Positions ☐ Pick Stocks

Gain Positions ☐

Loss Positions ☐ Short-Term ☐

Portfolio   Long-Term ☐

### BOUGHT / TRANSFERRED IN REPORT

April 1, 1999 through June 30, 1999

Reference Number	Symbol	Position	Date	Shares	Price	Amount	Commissions & Costs		Basis
							Amount	%	
28231	HSY	Long	4/7/1999	100	55.688	5,568.75	62.50	1.12%	5,631.25
47104	EXDS		4/23/1999	100	74.000	7,400.00	102.50	1.39%	7,502.50
-	HSY		4/20/1999	100	55.000	5,500.00	102.50	1.86%	5,602.50
88682	EXDS		4/26/1999	100	81.500	8,150.00	102.50	1.26%	8,252.50
37751	EMBU		4/22/1999	5,000	0.830	4,150.00	50.00	1.20%	4,200.00
37728	EMBU		4/22/1999	10,000	0.810	8,100.00	152.50	1.88%	8,252.50
36694	EXDS		4/27/1999	50	105.000	5,250.00	102.50	1.95%	5,352.50
69811	BEAM		4/23/1999	1,000	16.063	16,062.50	202.50	1.26%	16,265.00
47892	EBAY		4/27/1999	50	214.875	10,743.75	102.50	0.95%	10,846.25
86388	INTC		5/3/1999	500	62.875	31,437.50	102.50	0.33%	31,540.00
80027	MCK		5/13/1999	200	37.375	7,475.00	102.50	1.37%	7,577.50
83194	BEAM		5/27/1999	1,000	16.750	16,750.00	252.50	1.51%	17,002.50
26444	BEAM		6/2/1999	1,000	18.250	18,250.00	252.50	1.38%	18,502.50
27475	EMBU		6/2/1999	10,000	1.205	12,050.00	102.50	0.85%	12,152.50
92731	EBAY		6/4/1999	50	162.313	8,115.63	102.50	1.26%	8,218.13
-	UAL		6/9/1999	100	65.563	6,556.25	102.50	1.56%	6,658.75
46520	TSEMF		6/10/1999	400	7.250	2,900.00	26.66	0.92%	2,926.66
46518	TSEMF		6/10/1999	100	7.125	712.50	6.67	0.94%	719.17
46509	TSEMF		6/10/1999	1,000	7.125	7,125.00	77.58	1.09%	7,202.58
50069	ANSI		6/24/1999	1,000	8.875	8,875.00	99.00	1.12%	8,974.00
53199	BEAM		6/29/1999	1,000	20.000	20,000.00	184.00	0.92%	20,184.00
54801	PBJHA		6/29/1999	4,000	0.375	1,500.00	184.00	12.27%	1,684.00
						212,671.88	2,575.41	1.21%	215,247.29

Print

Graph

Figure 9A

# REPLACEMENT SHEET

INVESTMENT PORTFOLIO TRACKING SYSTEM AND  
METHOD  
Inventors: Arthur Willard Chaffee  
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## SOLD / TRANSFERRED OUT REPORT PARAMETERS

Date Range  to

Stock Selection:

Long Positions ☐

Short Positions ☐ Pick Stocks  ▼

Gain Positions ☐

Loss Positions ☐ Short-Term ☐

Portfolio  ▼ Long-Term ☐

## SOLD / TRANSFERRED OUT REPORT April 1, 1999 through June 30, 1999

Reference Number	Symbol	Position	Date	Shares	Price	Amount	Commissions & Costs		Basis
							Amount	%	
46512	HSY	Long		100	-	-	-	0.00%	-
80009	EXDS	Long		100	92.625	9,262.50	102.81	1.11%	9,365.31
46512	HSY	Long		100	65.000	6,500.00	102.81	1.58%	6,602.81
96460	EXDS	Long		100	92.000	9,200.00	134.31	1.46%	9,334.31
0	EMBU	Long		5,000	-	-	-	0.00%	-
0	EMBU	Long		10,000	-	-	-	0.00%	-
53177	EXDS	Long		50	107.563	5,378.13	134.18	2.49%	5,512.31
35765	BEAM	Long		1,000	18.375	18,375.00	153.12	0.83%	18,528.12
-	EBAY	Long		50	124.500	6,225.00	35.00	0.56%	6,260.00
53896	INTC	Long		500	61.875	30,937.50	103.54	0.33%	31,041.04
-	MCK	Long		200	32.500	6,500.00	35.00	0.54%	6,535.00
-	BEAM	Long		1,000	22.563	22,562.50	553.26	2.45%	23,115.76
90282	BEAM	Long		1,000	19.563	19,562.50	153.12	0.78%	19,715.62
0	EMBU	Long		10,000	-	-	-	0.00%	-
-	EBAY	Long		50	-	-	-	0.00%	-
0	UAL	Long		100	-	-	-	0.00%	-
49972	TSEMF	Long		400	7.438	2,975.00	10.90	0.37%	2,985.90
49972	TSEMF	Long		100	7.438	743.75	10.90	1.47%	754.65
49923	TSEMF	Long		1,000	7.438	7,437.50	77.58	1.04%	7,515.08
0	ANSI	Long		1,000	-	-	-	0.00%	-
						145,659.38	1,606.53	1.10%	147,265.91

Figure 9B



INVESTMENT PORTFOLIO TRACKING SYSTEM AND  
METHOD

Inventors: Arthur Willard Chaffee

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## MARGIN ALERT REPORT PARAMETERS

Date

4/1/1999

Stock Selection:

Long Positions

☐

Short Positions

☐

Pick Stocks

ALL

Gain Positions

☐

Loss Positions

☐

Short-Term

☐

Portfolio

AWC

Long-Term

☐

## MARGIN ALERT REPORT

June 30, 1999

Reference Number	Symbol	Current Basis	Margin Balance	Cash Invested	Current Margin %	Margin Alert %	Difference	Margin Alert
28231	HSY	7,320.99	3,000.00	4,320.99	40.98%	45.00%	4.02%	
47104	EXDS	-	-	-	0.00%	45.00%	45.00%	
-	HSY	-	-	-	0.00%	45.00%	45.00%	
88682	EXDS	-	-	-	0.00%	45.00%	45.00%	
37751	EMBU	10,050.00	-	10,050.00	0.00%	45.00%	45.00%	
37728	EMBU	20,152.50	-	20,152.50	0.00%	45.00%	45.00%	
36694	EXDS	-	-	-	0.00%	45.00%	45.00%	
69811	BEAM	-	-	-	0.00%	45.00%	45.00%	
47892	EBAY	-	-	-	0.00%	45.00%	45.00%	
86388	INTC	-	-	-	0.00%	45.00%	45.00%	
80027	MCK	-	-	-	0.00%	45.00%	45.00%	
83194	BEAM	-	-	-	0.00%	45.00%	45.00%	
26444	BEAM	-	-	-	0.00%	45.00%	45.00%	
27475	EMBU	20,102.50	-	20,102.50	0.00%	45.00%	45.00%	
92731	EBAY	9,014.15	4,000.00	5,014.15	44.37%	45.00%	0.63%	
-	UAL	6,502.50	-	6,502.50	0.00%	45.00%	45.00%	
46520	TSEMF	-	-	-	0.00%	45.00%	45.00%	
46518	TSEMF	-	-	-	0.00%	45.00%	45.00%	
46509	TSEMF	-	-	-	0.00%	45.00%	45.00%	
50069	ANSI	11,474.00	-	11,474.00	0.00%	45.00%	45.00%	
53199	BEAM	25,485.22	9,000.00	16,485.22	35.31%	45.00%	9.69%	
54801	PBJHA	1,684.00	-	1,684.00	0.00%	45.00%	45.00%	
		<u>111,785.87</u>	<u>16,000.00</u>	<u>95,785.87</u>	<u>14.31%</u>	<u>45.00%</u>	<u>30.69%</u>	

Figure 9C

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
 Attorney: Ronald Coslick - 027756-0101  
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### HOLDING PERIOD REPORT PARAMETERS

Date Range  to

Stock Selection:

Long Positions ☐

Short Positions ☐ Pick Stocks

Gain Positions ☐

Loss Positions ☐ Short-Term ☐

Portfolio   Long-Term ☐

### HOLDING PERIOD REPORT April 1, 1999 through June 30, 1999

Reference Number	Symbol	Position	Date		Shares	Price	Amount	Period Held	
			Purchase	Sold				Months	Days
28231	HSY	Long	4/7/1999		100	55.688	5,568.75	5	19
37751	EMBU	Long	4/22/1999		5,000	0.830	4,150.00	5	4
37728	EMBU	Long	4/22/1999		10,000	0.810	8,100.00	5	4
36694	EXDS	Long	4/27/1999	6/29/1999	50	105.000	5,250.00	2	3
47892	EBAY	Long	4/27/1999	6/14/1999	50	214.875	10,743.75	1	18
80027	MCK	Long	5/13/1999	6/14/1999	200	37.375	7,475.00	1	2
83194	BEAM	Long	5/27/1999	5/27/1999	1,000	16.750	16,750.00	0	1
26444	BEAM	Long	6/2/1999	6/4/1999	1,000	18.250	18,250.00	0	2
27475	EMBU	Long	6/2/1999		10,000	1.205	12,050.00	3	23
92731	EBAY	Long	6/4/1999		50	162.313	8,115.63	3	21
-	UAL	Long	6/9/1999		100	65.563	6,556.25	3	16
46520	TSEMF	Long	6/10/1999	6/24/1999	400	7.250	2,900.00	0	14
46518	TSEMF	Long	6/10/1999	6/24/1999	100	7.125	712.50	0	14
46509	TSEMF	Long	6/10/1999	6/24/1999	1,000	7.125	7,125.00	0	14
50069	ANSI	Long	6/24/1999		1,000	8.875	8,875.00	3	1
53199	BEAM	Long	6/29/1999		1,000	20.000	20,000.00	2	26
54801	PBJHA	Long	6/29/1999		4,000	0.375	1,500.00	2	26

144,121.88

Figure 9D

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

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 Sheet 18 of 46

### DIVIDEND REPORT PARAMETERS

Date Range  to

Stock Selection:

Long Positions ☐

Short Positions ☐ Pick Stocks

Gain Positions ☐

Loss Positions ☐ Short-Term ☐

Portfolio   Long-Term ☐

### DIVIDEND REPORT April 1, 1999 through June 30, 1999

Reference Number	Symbol	Date		Value at Dividend Date	Cash Dividend	Dividend %	Shares Dividend	Shares Value	Dividend %
		Declared	Received						
28231	HSY	4/7/1999	3/15/1999	5,000	300.000	6.00%			
37751	EMBU	4/22/1999	5/1/1999	8,000		0.00%	100.000	350.00	4.38%
37728	EMBU	4/22/1999	5/1/1999	6,000	150.000	2.50%			0.00%
36694	EXDS	4/27/1999	6/29/1999	2,000	105.000	5.25%			0.00%
47892	EBAY	4/27/1999	6/14/1999	1,000		0.00%	75.000	25.00	2.50%
80027	MCK	5/13/1999	6/14/1999	4,000		0.00%	50.000	10.00	0.25%
					<u>555.00</u>		<u>225.00</u>		

Figure 9E

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

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YIELD REPORT PARAMETERS			
Date Range	<input type="text" value="4/1/1999"/>	to	<input type="text" value="6/30/1999"/>
Stock Selection:			
Long Positions	<input type="radio"/>	Pick Stocks	<input type="text" value="ALL"/> ▼
Short Positions	<input type="radio"/>		
Gain Positions	<input type="radio"/>		
Loss Positions	<input type="radio"/>	Short-Term	<input type="radio"/>
Portfolio	<input type="text" value="AWC"/> ▼	Long-Term	<input type="radio"/> <input type="button" value="Proceed"/>

YIELD REPORT												
April 1, 1999 through April 30, 1999												
Reference			Date	Current	Cash		Shares	Shares		Combined	Securities	
Number	Symbol	Declared	Received	Value	Dividend	Dividend %	Dividend	Value	Dividend %	Cash/Stock	Period	Held Yield
28231	HSY	36257	36234	5393.481	100	0.0185409			0	100	1.162509	
37751	EMBU	36272	36281	9159.69		0	20	92.625	0.0101122	1852.5	110.729	
37728	EMBU	36272	36281	6397.19	75	0.0117239			0	75	5.581595	
36694	EXDS	36277	36340	9065.69	85	0.00937601			0	85	1.770126	
47892	EBAY	36277	36325	10050		0	15	2	0.000199	30	0.204749	
					260		35					
<input type="button" value="Print"/> <input type="button" value="Graph"/>												

Figure 9F

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
 Attorney: Ronald Coslick - 027756-0101  
 FOLEY & LARDNER - (310) 975-7964  
 Sheet 20 of 46

### COMMISSION REPORT PARAMETERS

Date Range  to

Stock Selection:

Long Positions ☐

Short Positions ☐

Gain Positions ☐

Loss Positions ☐

Portfolio

Pick Stocks

Short-Term ☐

Long-Term ☐

### COMMISSION PERIOD REPORT April 1, 1999 through June 30, 1999

Reference Number	Symbol	Bought Value	Bought		Sold Value	Sold		Total Comm & Costs	Gross Sold Total Comm & Costs %	Net Gain/Loss Total Comm & Costs %
			Commissions & Cost Amount	%		Commissions & Cost Amount	%			
28231	HSY	5,568.75	62.50	1.12%	-	-	0.00%	62.50	0.00%	0.00%
47104	EXDS	7,400.00	102.50	1.39%	9,262.50	102.81	1.11%	205.31	2.17%	11.02%
-	HSY	5,500.00	102.50	1.86%	6,500.00	102.81	1.58%	205.31	3.06%	20.53%
88682	EXDS	8,150.00	102.50	1.26%	9,200.00	134.31	1.46%	236.81	2.51%	22.55%
37751	EMBU	4,150.00	50.00	1.20%	-	-	0.00%	50.00	0.00%	0.00%
37728	EMBU	8,100.00	152.50	1.88%	-	-	0.00%	152.50	0.00%	0.00%
36694	EXDS	5,250.00	102.50	1.95%	5,378.13	134.18	2.49%	236.68	4.22%	184.73%
69811	BEAM	16,062.50	202.50	1.26%	18,375.00	153.12	0.83%	355.62	1.90%	15.38%
47892	EBAY	10,743.75	102.50	0.95%	6,225.00	35.00	0.56%	137.50	2.16%	-3.04%
86388	INTC	31,437.50	102.50	0.33%	30,937.50	103.54	0.33%	206.04	0.66%	-41.21%
80027	MCK	7,475.00	102.50	1.37%	6,500.00	35.00	0.54%	137.50	2.07%	-14.10%
83194	BEAM	16,750.00	252.50	1.51%	22,562.50	553.26	2.45%	805.76	3.45%	13.86%
26444	BEAM	18,250.00	252.50	1.38%	19,562.50	153.12	0.78%	405.62	2.03%	30.90%
27475	EMBU	12,050.00	102.50	0.85%	-	-	0.00%	102.50	0.00%	0.00%
92731	EBAY	8,115.63	102.50	1.26%	-	-	0.00%	102.50	0.00%	0.00%
-	UAL	6,556.25	102.50	1.56%	-	-	0.00%	102.50	0.00%	0.00%
46520	TSEMF	2,900.00	26.66	0.92%	2,975.00	10.90	0.37%	37.56	1.25%	50.08%
46518	TSEMF	712.50	6.67	0.94%	743.75	10.90	1.47%	17.57	2.31%	56.22%
46509	TSEMF	7,125.00	77.58	1.09%	7,437.50	77.58	1.04%	155.16	2.04%	49.65%
50069	ANSI	8,875.00	99.00	1.12%	-	-	0.00%	99.00	0.00%	0.00%
53199	BEAM	20,000.00	184.00	0.92%	-	-	0.00%	184.00	0.00%	0.00%
54801	PBJHA	1,500.00	184.00	12.27%	-	-	0.00%	184.00	0.00%	0.00%
		<u>212,671.88</u>	<u>2,575.41</u>	<u>1.21%</u>	<u>145,659.38</u>	<u>1,606.53</u>	<u>1.10%</u>	<u>4,181.94</u>	<u>2.79%</u>	<u>-6.24%</u>

Figure 9G

INVESTMENT PORTFOLIO TRACKING SYSTEM AND  
METHOD

Inventors: Arthur Willard Chaffee

Attorney: Ronald Coslick - 027756-0101

FOLEY &amp; LARDNER - (310) 975-7964

Sheet 21 of 46

## PORTFOLIO STATUS REPORT PARAMETERS

Date: 6/30/1999 — 230

Stock Selection:

Long Positions ☐Short Positions ☐Gain Positions ☐Loss Positions ☐

Portfolio

☐☐☐☐AWC ▼

Pick Stocks

ALL ▼

Short-Term ☐Long-Term ☐

Proceed

## PORTFOLIO STATUS REPORT

June 30, 1999

Reference Number	Symbol	Position	Date		Shares	Bought Basis	Current Value	Gain / Loss	% Gain / Loss
			Bought	Sold					
28231	HSY	Long	4/7/1999		100	5,631	5,200	(431)	-7.66%
47104	EXDS		4/23/1999	5/13/1999	100	7,503	-	-	0.00%
-	HSY		4/20/1999	5/13/1999	100	5,603	-	-	0.00%
88682	EXDS		4/26/1999	6/23/1999	100	8,253	-	-	0.00%
37751	EMBU		4/22/1999		5,000	4,200	10,000	5,800	138.10%
37728	EMBU		4/22/1999		10,000	8,253	20,000	11,748	142.35%
36694	EXDS		4/27/1999	6/29/1999	50	5,353	-	-	0.00%
69811	BEAM		4/23/1999	5/27/1999	1,000	16,265	-	-	0.00%
47892	EBAY		4/27/1999	6/14/1999	50	10,846	-	-	0.00%
86388	INTC		5/3/1999	5/5/1999	500	31,540	-	-	0.00%
80027	MCK		5/13/1999	6/14/1999	200	7,578	-	-	0.00%
83194	BEAM		5/27/1999	5/27/1999	1,000	17,003	-	-	0.00%
26444	BEAM		6/2/1999	6/4/1999	1,000	18,503	-	-	0.00%
27475	EMBU		6/2/1999		10,000	12,153	20,000	7,848	64.58%
92731	EBAY		6/4/1999		50	8,218	6,225	(1,993)	-24.25%
-	UAL		6/9/1999		100	6,659	6,400	(259)	-3.89%
46520	TSEMF		6/10/1999	6/24/1999	400	2,927	-	-	0.00%
46518	TSEMF		6/10/1999	6/24/1999	100	719	-	-	0.00%
46509	TSEMF		6/10/1999	6/24/1999	1,000	7,203	-	-	0.00%
50069	ANSI		6/24/1999		1,000	8,974	11,375	2,401	26.76%
53199	BEAM		6/29/1999		1,000	20,184	19,313	(872)	-4.32%
54801	PBJHA		6/29/1999		4,000	1,684	1,500	(184)	-10.93%
						215,247	100,013	24,057	11.18%

Print

Graph

Figure 9H

# REPLACEMENT SHEET

INVESTMENT PORTFOLIO TRACKING SYSTEM AND  
METHOD  
Inventors: Arthur Willard Chaffee  
Attorney: Ronald Coslick - 027756-0101  
FOLEY & LARDNER - (310) 975-7964  
Sheet 22 of 46

## CASH ACTIVITY / STATUS REPORT PARAMETERS

Date Range  to

Detail-Activity/Status ☐

Summary-Status ☐

## CASH ACTIVITY / STATUS REPORT April 1, 1999 through June 30, 1999

Reference Number	Cash Invested Date	Net Sale Proceeds Date	Symbol	Deposits	Cash Invested	Net Sale Proceeds	Withdrawals	Tax Payments	Balance
									5,000.00
28231	4/7/1999		HSY	2,631.25	2,631.25	-			5,000.00
47104	4/23/1999	5/13/1999	EXDS	4,502.50	4,502.50	9,365.31	6,000.00		8,365.31
-	4/20/1999	5/13/1999	HSY	3,102.50	3,102.50	6,602.81	6,602.81		8,365.31
88682	4/26/1999	6/23/1999	EXDS	8,252.50	8,252.50	9,334.31	9,334.31		8,365.31
37751	4/22/1999		EMBU	4,200.00	4,200.00	-	-		8,365.31
37728	4/22/1999		EMBU	8,252.50	8,252.50	-	-		8,365.31
36694	4/27/1999	6/29/1999	EXDS	5,352.50	5,352.50	5,512.31	5,512.31		8,365.31
69811	4/23/1999	5/27/1999	BEAM	16,265.00	16,265.00	18,528.12	10,000.00		16,893.43
47892	4/27/1999	6/14/1999	EBAY	5,846.25	5,846.25	6,260.00	6,260.00		16,893.43
86388	5/3/1999	5/5/1999	INTC	31,540.00	31,540.00	31,041.04	31,041.04		16,893.43
80027	5/13/1999	6/14/1999	MCK	4,577.50	4,577.50	6,535.00	6,535.00		16,893.43
83194	5/27/1999	5/27/1999	BEAM	9,002.50	9,002.50	23,115.76	23,115.76		16,893.43
26444	6/2/1999	6/4/1999	BEAM	10,502.50	10,502.50	19,715.62	19,715.62		16,893.43
27475	6/2/1999		EMBU	12,152.50	12,152.50	-	-		16,893.43
92731	6/4/1999		EBAY	4,218.13	4,218.13	-	-		16,893.43
-	6/9/1999		UAL	6,658.75	6,658.75	-	-		16,893.43
46520	6/10/1999	6/24/1999	TSEMF	2,926.66	2,926.66	2,985.90	2,985.90		16,893.43
46518	6/10/1999	6/24/1999	TSEMF	719.17	719.17	754.65	754.65		16,893.43
46509	6/10/1999	6/24/1999	TSEMF	7,202.58	7,202.58	7,515.08	7,515.08		16,893.43
	6/13/1999							400.00	16,493.43
	6/15/1999							1,000.00	15,493.43
50069	6/24/1999		ANSI	8,974.00	8,974.00	-	-		15,493.43
				<u>156,879.29</u>	<u>156,879.29</u>	<u>147,265.91</u>	<u>135,372.48</u>	<u>1,400.00</u>	<u>15,493.43</u>

Figure 91

**REPLACEMENT SHEET**

INVESTMENT PORTFOLIO TRACKING SYSTEM AND  
METHOD

Inventors: Arthur Willard Chaffee

Attorney: Ronald Coslick - 027756-0101

FOLEY & LARDNER - (310) 975-7964

Sheet 23 of 46

**CASH INVESTED ACTIVITY / STATUS REPORT PARAMETERS**

Date Range  to

Detail-Activity/Status ☐

Summary-Status ☐

**Proceed**

**CASH INVESTED ACTIVITY / STATUS REPORT**

April 1, 1999 through June 30, 1999

Detail

Reference Number	Date		Symbol	Bought	Sold	Borrowed	Repaid	Balance
	Bought	Sold						
28231	4/7/1999		HSY	2,631.25	-			2,631.25
47104	4/23/1999	5/13/1999	EXDS	4,502.50	4,502.50			2,631.25
-	4/20/1999	5/13/1999	HSY	3,102.50	3,102.50			2,631.25
88682	4/26/1999	6/23/1999	EXDS	8,252.50	8,252.50			2,631.25
37751	4/22/1999		EMBU	4,200.00	-			6,831.25
37728	4/22/1999		EMBU	8,252.50	-			15,083.75
36694	4/27/1999	6/29/1999	EXDS	5,352.50	5,352.50			15,083.75
69811	4/23/1999	5/27/1999	BEAM	16,265.00	16,265.00			15,083.75
47892	4/27/1999	6/14/1999	EBAY	5,846.25	5,846.25			15,083.75
86388	5/3/1999	5/5/1999	INTC	31,540.00	31,540.00			15,083.75
80027	5/13/1999	6/14/1999	MCK	4,577.50	4,577.50			15,083.75
83194	5/27/1999	5/27/1999	BEAM	9,002.50	9,002.50			15,083.75
26444	6/2/1999	6/4/1999	BEAM	10,502.50	10,502.50			15,083.75
27475	6/2/1999		EMBU	12,152.50	-			27,236.25
92731	6/4/1999		EBAY	4,218.13	-			31,454.38
-	6/9/1999		UAL	6,658.75	-			38,113.13
46520	6/10/1999	6/24/1999	TSEMF	2,926.66	2,926.66			38,113.13
46518	6/10/1999	6/24/1999	TSEMF	719.17	719.17			38,113.13
46509	6/10/1999	6/24/1999	TSEMF	7,202.58	7,202.58			38,113.13
50069	6/24/1999		ANSI	8,974.00	-			47,087.13
53199	6/29/1999		BEAM	11,184.00	-			58,271.13
54801	6/29/1999		PBJHA	1,684.00	-			59,955.13
				169,747.29	109,792.16	-	-	59,955.13

**Print**

**Graph**

**Figure 9J**



# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee

Attorney: Ronald Coslick - 027756-0101

FOLEY & LARDNER - (310) 975-7964

Sheet 24 of 46

### MARGIN ACTIVITY / STATUS REPORT PARAMETERS

Date Range

4/1/1999

to

6/30/1999

Detail-Activity/Status

☐

Summary-Status

☐

Proceed

### MARGIN ACTIVITY / STATUS REPORT

April 1, 1999 through June 30, 1999

Detail

Reference Number	Date		Symbol	Bought	Sold	Borrowed	Repaid	Balance
	Bought	Sold						
28231	4/7/1999		HSY	3,000.00	-			3,000.00
47104	4/23/1999	5/13/1999	EXDS	3,000.00	3,000.00			3,000.00
-	4/20/1999	5/13/1999	HSY	2,500.00	2,500.00			3,000.00
88682	4/26/1999	6/23/1999	EXDS	-	-			3,000.00
37751	4/22/1999		EMBU	-	-			3,000.00
37728	4/22/1999		EMBU	-	-			3,000.00
36694	4/27/1999	6/29/1999	EXDS	-	-			3,000.00
69811	4/23/1999	5/27/1999	BEAM	-	-			3,000.00
47892	4/27/1999	6/14/1999	EBAY	5,000.00	5,000.00			3,000.00
86388	5/3/1999	5/5/1999	INTC	-	-			3,000.00
80027	5/13/1999	6/14/1999	MCK	3,000.00	3,000.00			3,000.00
83194	5/27/1999	5/27/1999	BEAM	8,000.00	8,000.00			3,000.00
26444	6/2/1999	6/4/1999	BEAM	8,000.00	8,000.00			3,000.00
27475	6/2/1999		EMBU	-	-			3,000.00
92731	6/4/1999		EBAY	4,000.00	-			7,000.00
-	6/9/1999		UAL	-	-			7,000.00
46520	6/10/1999	6/24/1999	TSEMF	-	-			7,000.00
46518	6/10/1999	6/24/1999	TSEMF	-	-			7,000.00
46509	6/10/1999	6/24/1999	TSEMF	-	-			7,000.00
50069	6/24/1999		ANSI	-	-			7,000.00
53199	6/29/1999		BEAM	9,000.00	-			16,000.00
54801	6/29/1999		PBJHA	-	-			16,000.00
				45,500.00	29,500.00	-	-	16,000.00

Print

Graph

Figure 9K

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
 Attorney: Ronald Costick - 027756-0101  
 FOLEY & LARDNER - (310) 975-7964  
 Sheet 25 of 46

### MARGIN INTEREST EXPENSE REPORT

Date Range  to

Stock Selection:

Long Positions ☐

Short Positions ☐ Pick Stocks  ▼

Gain Positions ☐

Loss Positions ☐ Short-Term ☐

Portfolio  ▼ Long-Term ☐

### MARGIN INTEREST EXPENSE REPORT

April 1, 1999 through June 30, 1999

Reference	Date		Symbol	Margin	Margin Interest	Interest Paid	Payable	Total Payable
Number	Beginning	Ending						
28231	4/7/1999		HSY	3,000.00	2,058.50	-	2,058.50	2,058.50
47104	4/23/1999	5/13/1999	EXDS	3,000.00	15.00	15.00	-	2,058.50
-	4/20/1999	5/13/1999	HSY	2,500.00	14.38	14.38	-	2,058.50
88682	4/26/1999	6/23/1999	EXDS	-	-	-	-	2,058.50
37751	4/22/1999		EMBU	-	-	-	-	2,058.50
37728	4/22/1999		EMBU	-	-	-	-	2,058.50
36694	4/27/1999	6/29/1999	EXDS	-	-	-	-	2,058.50
69811	4/23/1999	5/27/1999	BEAM	-	-	-	-	2,058.50
47892	4/27/1999	6/14/1999	EBAY	5,000.00	60.00	60.00	-	2,058.50
86388	5/3/1999	5/5/1999	INTC	-	-	-	-	2,058.50
80027	5/13/1999	6/14/1999	MCK	3,000.00	24.00	24.00	-	2,058.50
83194	5/27/1999	5/27/1999	BEAM	8,000.00	-	-	-	2,058.50
26444	6/2/1999	6/4/1999	BEAM	8,000.00	4.00	4.00	-	2,058.50
27475	6/2/1999		EMBU	-	-	-	-	2,058.50
92731	6/4/1999		EBAY	4,000.00	2,686.66	-	2,686.66	4,745.16
-	6/9/1999		UAL	-	-	-	-	4,745.16
46520	6/10/1999	6/24/1999	TSEMF	-	-	-	-	4,745.16
46518	6/10/1999	6/24/1999	TSEMF	-	-	-	-	4,745.16
46509	6/10/1999	6/24/1999	TSEMF	-	-	-	-	4,745.16
50069	6/24/1999		ANSI	-	-	-	-	4,745.16
53199	6/29/1999		BEAM	9,000.00	5,988.74	-	5,988.74	10,733.90
54801	6/29/1999		PBJHA	-	-	-	-	10,733.90
				45,500.00	10,851.28	117.38	10,733.90	10,733.90

Print

Graph

Figure 9L

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
 Attorney: Ronald Coslick - 027756-0101  
 FOLEY & LARDNER - (310) 975-7964  
 Sheet 26 of 46

### MARGIN INTEREST PAYABLE REPORT

Date Range  to

Stock Selection:

Long Positions ☐

Short Positions ☐ Pick Stocks  ▼

Gain Positions ☐

Loss Positions ☐ Short-Term ☐

Portfolio  ▼ Long-Term ☐

### MARGIN INTEREST PAYABLE REPORT

April 1, 1999 through June 30, 1999

Detail

Reference Number	Date		Symbol	Margin	Margin Interest	Interest Paid	Payable	Total Payable
	Beginning	Ending						
28231	4/7/1999		HSY	3,000.00	2,058.52	-	2,058.52	2,058.52
47104	4/23/1999	5/13/1999	EXDS	3,000.00	15.00	15.00	-	2,058.52
-	4/20/1999	5/13/1999	HSY	2,500.00	14.38	14.38	-	2,058.52
88682	4/26/1999	6/23/1999	EXDS	-	-	-	-	2,058.52
37751	4/22/1999		EMBU	-	-	-	-	2,058.52
37728	4/22/1999		EMBU	-	-	-	-	2,058.52
36694	4/27/1999	6/29/1999	EXDS	-	-	-	-	2,058.52
69811	4/23/1999	5/27/1999	BEAM	-	-	-	-	2,058.52
47892	4/27/1999	6/14/1999	EBAY	5,000.00	60.00	60.00	-	2,058.52
86388	5/3/1999	5/5/1999	INTC	-	-	-	-	2,058.52
80027	5/13/1999	6/14/1999	MCK	3,000.00	24.00	24.00	-	2,058.52
83194	5/27/1999	5/27/1999	BEAM	8,000.00	-	-	-	2,058.52
26444	6/2/1999	6/4/1999	BEAM	8,000.00	4.00	4.00	-	2,058.52
27475	6/2/1999		EMBU	-	-	-	-	2,058.52
92731	6/4/1999		EBAY	4,000.00	2,686.70	-	2,686.70	4,745.22
-	6/9/1999		UAL	-	-	-	-	4,745.22
46520	6/10/1999	6/24/1999	TSEMF	-	-	-	-	4,745.22
46518	6/10/1999	6/24/1999	TSEMF	-	-	-	-	4,745.22
46509	6/10/1999	6/24/1999	TSEMF	-	-	-	-	4,745.22
50069	6/24/1999		ANSI	-	-	-	-	4,745.22
53199	6/29/1999		BEAM	9,000.00	5,988.82	-	5,988.82	10,734.03
54801	6/29/1999		PBJHA	-	-	-	-	10,734.03
				45,500.00	10,851.41	117.38	10,734.03	10,734.03

Figure 9M

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
 Attorney: Ronald Coslick - 027756-0101  
 FOLEY & LARDNER - (310) 975-7964  
 Sheet 27 of 46

### GAIN / LOSS REPORT PARAMETERS

Date Range  to  Report Format:

Stock Selection:

Long Positions ☐

Short Positions ☐

Gain Positions ☐

Loss Positions ☐

Portfolio

Pick Stocks

Short-Term ☐

Long-Term ☐

### GROSS BOOK GAIN / LOSS REPORT MONTH END

April 1, 1999 through June 30, 1999

Reference Number	Symbol	Position	Date		Months Held	Bought Value	Gross Gains / Loss			% Gain / Loss
			Purchase	Sold			Realized	Unrealized	Total	
28231	HSY	Long	4/7/1999		0.80	5,568.75	-	(168.75)	(168.75)	-3.03%
47104	EXDS	Long	4/23/1999		0.27	7,400.00	-	800.00	800.00	10.81%
-	HSY	Long	4/20/1999		0.37	5,500.00	-	(100.00)	(100.00)	-1.82%
88682	EXDS	Long	4/26/1999		0.17	8,150.00	-	50.00	50.00	0.61%
37751	EMBU	Long	4/22/1999		0.30	4,150.00	-	850.00	850.00	20.48%
37728	EMBU	Long	4/22/1999		0.30	8,100.00	-	1,900.00	1,900.00	23.46%
36694	EXDS	Long	4/27/1999		0.13	5,250.00	-	(1,150.00)	(1,150.00)	-21.90%
69811	BEAM	Long	4/23/1999		0.27	16,062.50	-	2,937.50	2,937.50	18.29%
47892	EBAY	Long	4/27/1999		0.13	10,743.75	-	(1,743.75)	(1,743.75)	-16.23%
						<u>70,925.00</u>	<u>-</u>	<u>3,375.00</u>	<u>3,375.00</u>	<u>4.76%</u>
86388	INTC	Long	5/3/1999	5/5/1999	0.07	31,437.50	(500.00)	-	(500.00)	-1.59%
80027	MCK	Long	5/13/1999		0.63	7,475.00	-	(875.00)	(875.00)	-11.71%
83194	BEAM	Long	5/27/1999	5/27/1999	0.03	16,750.00	3,250.00	-	3,250.00	19.40%
						<u>55,662.500</u>	<u>2,750.00</u>	<u>(875.000)</u>	<u>1,875.000</u>	<u>3.37%</u>
26444	BEAM	Long	6/2/1999		0.07	18,250.00	750.00	-	750.00	4.11%
27475	EMBU	Long	6/2/1999		0.97	12,050.00	-	(2,050.00)	(2,050.00)	-17.01%
92731	EBAY	Long	6/4/1999		0.90	8,115.63	-	(1,615.63)	(1,615.63)	-19.91%
-	UAL	Long	6/9/1999		0.73	6,556.25	-	43.75	43.75	0.67%
46520	TSEMF	Long	6/10/1999		0.47	2,900.00	(100.00)	-	(100.00)	-3.45%
46518	TSEMF	Long	6/10/1999		0.47	712.50	(12.50)	-	(12.50)	-1.75%
46509	TSEMF	Long	6/10/1999		0.47	7,125.00	(125.00)	-	(125.00)	-1.75%
50069	ANSI	Long	6/24/1999		0.23	8,875.00	-	1,026.00	1,026.00	11.56%
53199	BEAM	Long	6/29/1999		0.07	20,000.00	-	(1,000.00)	(1,000.00)	-5.00%
54801	PBJHA	Long	6/29/1999		0.07	1,500.00	-	-	-	0.00%
						<u>86,084.38</u>	<u>512.50</u>	<u>(3,595.88)</u>	<u>(3,083.38)</u>	<u>-3.58%</u>
						<u>212,671.88</u>	<u>3,262.50</u>	<u>(1,095.88)</u>	<u>2,166.63</u>	<u>1.02%</u>

Figure N-1

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
 Attorney: Ronald Coslick - 027756-0101  
 FOLEY & LARDNER - (310) 975-7964  
 Sheet 28 of 46

GAIN / LOSS REPORT PARAMETERS			
Date Range	<input type="text" value="4/1/1999"/>	to	<input type="text" value="6/30/1999"/>
		Report Format:	<input type="text" value="Net Book-ME"/>
Stock Selection:			
Long Positions	<input type="radio"/>		
Short Positions	<input type="radio"/>		
Gain Positions	<input type="radio"/>	Pick Stocks	<input type="text" value="ALL"/>
Loss Positions	<input type="radio"/>	Short-Term	<input type="radio"/>
Portfolio	<input type="text" value="AWC"/>	Long-Term	<input type="radio"/>
			<input type="button" value="Proceed"/>

NET BOOK GAIN / LOSS REPORT										
MONTH END										
April 1, 1999 through June 30, 2000										
Reference	Symbol	Position	Date		Months	Bought	Gross Gains / Loss			%
Number			Purchase	Sold	Held	Value	Realized	Unrealized	Total	Gain / Loss
28231	HSY	Long	4/7/1999		0.80	5,568.75	-	(2,289.75)	(2,289.75)	-41.12%
47104	EXDS	Long	4/23/1999		0.27	7,400.00	-	579.69	579.69	7.83%
-	HSY	Long	4/20/1999		0.37	5,500.00	-	(319.69)	(319.69)	-5.81%
88682	EXDS	Long	4/26/1999		0.17	8,150.00	-	(186.81)	(186.81)	-2.29%
37751	EMBU	Long	4/22/1999		0.30	4,150.00	-	800.00	800.00	19.28%
37728	EMBU	Long	4/22/1999		0.30	8,100.00	-	1,747.50	1,747.50	21.57%
36694	EXDS	Long	4/27/1999		0.13	5,250.00	-	(1,386.68)	(1,386.68)	-26.41%
69811	BEAM	Long	4/23/1999		0.27	16,062.50	-	2,581.88	2,581.88	16.07%
47892	EBAY	Long	4/27/1999		0.13	10,743.75	-	(1,941.25)	(1,941.25)	-18.07%
						70,925.00	-	(415.10)	(415.10)	-0.59%
86388	INTC	Long	5/3/1999	5/5/1999	0.07	31,437.50	(706.04)	-	(706.04)	-2.25%
80027	MCK	Long	5/13/1999		0.63	7,475.00	-	(1,036.50)	(1,036.50)	-13.87%
83194	BEAM	Long	5/27/1999	5/27/1999	0.03	16,750.00	2,444.24	-	2,444.24	14.59%
						55,662.500	1,738.20	(1,036.500)	701.700	1.26%
26444	BEAM	Long	6/2/1999		0.07	18,250.00	340.38	-	340.38	1.87%
27475	EMBU	Long	6/2/1999		0.97	12,050.00	-	(2,152.50)	(2,152.50)	-17.86%
92731	EBAY	Long	6/4/1999		0.90	8,115.63	-	(4,404.79)	(4,404.79)	-54.28%
-	UAL	Long	6/9/1999		0.73	6,556.25	-	(58.75)	(58.75)	-0.90%
46520	TSEMF	Long	6/10/1999		0.47	2,900.00	(137.56)	-	(137.56)	-4.74%
46518	TSEMF	Long	6/10/1999		0.47	712.50	5.07	-	5.07	0.71%
46509	TSEMF	Long	6/10/1999		0.47	7,125.00	30.16	-	30.16	0.42%
50069	ANSI	Long	6/24/1999		0.23	8,875.00	-	1,026.00	1,026.00	11.56%
53199	BEAM	Long	6/29/1999		0.07	20,000.00	-	(7,172.75)	(7,172.75)	-35.86%
54801	PBJHA	Long	6/29/1999		0.07	1,500.00	-	(184.00)	(184.00)	-12.27%
						86,084.38	238.05	(12,946.79)	(12,708.74)	-14.76%
						212,671.88	1,976.25	(14,398.40)	(12,422.15)	-5.84%

Figure 9N-2

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee

Attorney: Ronald Coslick - 027756-0101

FOLEY & LARDNER - (310) 975-7964

Sheet 29 of 46

GAIN / LOSS REPORT PARAMETERS					
Date Range	<input type="text" value="4/1/1999"/>	to	<input type="text" value="6/30/1999"/>	Report Format:	<input type="text" value="Gross Book-YTD"/>
Stock Selection:					
Long Positions	<input type="radio"/>				
Short Positions	<input type="radio"/>				
Gain Positions	<input type="radio"/>	Pick Stocks	<input type="text" value="ALL"/>		
Loss Positions	<input type="radio"/>	Short-Term	<input type="radio"/>		
Portfolio	<input type="text" value="AWC"/>	Long-Term	<input type="radio"/>	<input type="button" value="Proceed"/>	

GROSS BOOK GAIN / LOSS REPORT										
YEAR TO DATE										
April 1, 1999 through June 30, 1999										
Reference	Symbol	Position	Date	Months	Bought	Gross Gains / Loss			% Gain / Loss	
Number			Purchase	Sold	Held	Value	Realized	Unrealized	Total	
28231	HSY	Long	4/7/1999		91.49	5,568.75	-	(368.75)	(368.75)	-6.62%
47104	EXDS	Long	4/23/1999	5/13/1999	0.67	7,400.00	1,862.50	-	1,862.50	25.17%
-	HSY	Long	4/20/1999	5/13/1999	0.77	5,500.00	1,000.00	-	1,000.00	18.18%
88682	EXDS	Long	4/26/1999	6/23/1999	1.93	8,150.00	1,050.00	-	1,050.00	12.88%
37751	EMBU	Long	4/22/1999		90.99	4,150.00	-	5,850.00	5,850.00	140.96%
37728	EMBU	Long	4/22/1999		90.99	8,100.00	-	11,900.00	11,900.00	146.91%
36694	EXDS	Long	4/27/1999	6/29/1999	2.10	5,250.00	128.13	-	128.13	2.44%
69811	BEAM	Long	4/23/1999	5/27/1999	1.13	16,062.50	2,312.50	-	2,312.50	14.40%
47892	EBAY	Long	4/27/1999	6/14/1999	1.60	10,743.75	(4,518.75)	-	(4,518.75)	-42.06%
						70,925.00	1,834.38	17,381.25	19,215.63	27.09%
86388	INTC	Long	5/3/1999	5/5/1999	0.07	31,437.50	(500.00)	-	(500.00)	-1.59%
80027	MCK	Long	5/13/1999	6/14/1999	1.07	7,475.00	(975.00)	-	(975.00)	-13.04%
83194	BEAM	Long	5/27/1999	5/27/1999	0.03	16,750.00	5,812.50	-	5,812.50	34.70%
						55,662.500	4,337.50	-	4,337.500	7.79%
26444	BEAM	Long	6/2/1999	6/4/1999	0.07	18,250.00	1,312.50	-	1,312.50	7.19%
27475	EMBU	Long	6/2/1999		89.62	12,050.00	-	7,950.00	7,950.00	65.98%
92731	EBAY	Long	6/4/1999		89.56	8,115.63	-	(1,890.63)	(1,890.63)	-23.30%
-	UAL	Long	6/9/1999		89.39	6,556.25	-	(156.25)	(156.25)	-2.38%
46520	TSEMF	Long	6/10/1999	6/24/1999	0.47	2,900.00	75.00	-	75.00	2.59%
46518	TSEMF	Long	6/10/1999	6/24/1999	0.47	712.50	31.25	-	31.25	4.39%
46509	TSEMF	Long	6/10/1999	6/24/1999	0.47	7,125.00	312.50	-	312.50	4.39%
50069	ANSI	Long	6/24/1999		88.89	8,875.00	-	2,500.00	2,500.00	28.17%
53199	BEAM	Long	6/29/1999		88.72	20,000.00	-	(687.50)	(687.50)	-3.44%
54801	PBJHA	Long	6/29/1999		88.72	1,500.00	-	-	-	0.00%
						86,084.38	1,731.25	7,715.63	9,446.88	10.97%
						212,671.88	7,903.13	25,096.88	33,000.00	15.52%

Figure 9N-3

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee

Attorney: Ronald Coslick - 027756-0101

FOLEY & LARDNER - (310) 975-7964

Sheet 30 of 46

### GAIN / LOSS REPORT PARAMETERS

Date Range  to  Report Format:  ▼

Stock Selection:

Long Positions ☐

Short Positions ☐

Gain Positions ☐

Loss Positions ☐

Portfolio  ▼

Pick Stocks  ▼

Short-Term ☐

Long-Term ☐

### NET BOOK GAIN / LOSS REPORT YEAR TO DATE

April 1, 1999 through June 30, 1999

Reference Number	Symbol	Position	Date		Months Held	Bought Value	Gross Gains / Loss			% Gain / Loss
			Purchase	Sold			Realized	Unrealized	Total	
28231	HSY	Long	4/7/1999	1/0/1900	91.49	5,568.75	-	(2,489.76)	(2,489.76)	-44.71%
47104	EXDS	Long	4/23/1999	5/13/1999	0.67	7,400.00	1,642.19	-	1,642.19	22.19%
-	HSY	Long	4/20/1999	5/13/1999	0.77	5,500.00	780.32	-	780.32	14.19%
88682	EXDS	Long	4/26/1999	6/23/1999	1.93	8,150.00	813.19	-	813.19	9.98%
37751	EMBU	Long	4/22/1999		90.99	4,150.00	-	5,800.00	5,800.00	139.76%
37728	EMBU	Long	4/22/1999		90.99	8,100.00	-	11,747.50	11,747.50	145.03%
36694	EXDS	Long	4/27/1999	6/29/1999	2.10	5,250.00	(108.56)	-	(108.56)	-2.07%
69811	BEAM	Long	4/23/1999	5/27/1999	1.13	16,062.50	1,956.88	-	1,956.88	12.18%
47892	EBAY	Long	4/27/1999	6/14/1999	1.60	10,743.75	(4,716.25)	-	(4,716.25)	-43.90%
						70,925.00	367.77	15,057.74	15,425.51	21.75%
86388	INTC	Long	5/3/1999	5/5/1999	0.07	31,437.50	(706.04)	-	(706.04)	-2.25%
80027	MCK	Long	5/13/1999	6/14/1999	1.07	7,475.00	(1,136.50)	-	(1,136.50)	-15.20%
83194	BEAM	Long	5/27/1999	5/27/1999	0.03	16,750.00	5,006.74	-	5,006.74	29.89%
						55,662.500	3,164.20	-	3,164.200	5.68%
26444	BEAM	Long	6/2/1999	6/4/1999	0.07	18,250.00	902.88	-	902.88	4.95%
27475	EMBU	Long	6/2/1999		89.62	12,050.00	-	7,847.50	7,847.50	65.12%
92731	EBAY	Long	6/4/1999	1/0/1900	89.56	8,115.63	-	(4,679.80)	(4,679.80)	-57.66%
-	UAL	Long	6/9/1999	1/0/1900	89.39	6,556.25	-	(258.75)	(258.75)	-3.95%
46520	TSEMF	Long	6/10/1999	6/24/1999	0.47	2,900.00	37.44	-	37.44	1.29%
46518	TSEMF	Long	6/10/1999	6/24/1999	0.47	712.50	13.68	-	13.68	1.92%
46509	TSEMF	Long	6/10/1999	6/24/1999	0.47	7,125.00	157.34	-	157.34	2.21%
50069	ANSI	Long	6/24/1999		88.89	8,875.00	-	2,401.00	2,401.00	27.05%
53199	BEAM	Long	6/29/1999		88.72	20,000.00	-	(6,860.27)	(6,860.27)	-34.30%
54801	PBJHA	Long	6/29/1999		88.72	1,500.00	-	(184.00)	(184.00)	-12.27%
						86,084.38	1,111.34	(1,734.32)	(622.98)	-0.72%
						212,671.88	4,643.31	13,323.43	17,966.74	8.45%

Figure 9N-4

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
 Attorney: Ronald Coslick - 027756-0101  
 FOLEY & LARDNER - (310) 975-7964  
 Sheet 31 of 46

### RETURN ON GROSS SECURITIES REPORT PARAMETERS

Date Range  to  Report Format:  ▼

Stock Selection:

Long Positions ☐

Short Positions ☐

Gain Positions ☐

Loss Positions ☐

Portfolio  ▼

Pick Stocks  ▼

Short-Term Positions ☐

Long-Term Positions ☐

### RETURN ON GROSS SECURITIES REPORT MONTH END

April 1, 1999 through June 30, 1999

Reference Number	Symbol	Position	Date		Months Held	Gross Gain / Loss	Return on Investment		
			Purchase	Sold			Percentage Increase	Monthly Return	Annualized Return
28231	HSY	Long	4/7/1999		0.80	(168.75)	-3.13%	-3.91%	-46.88%
47104	EXDS	Long	4/23/1999		0.27	800.00	9.76%	36.59%	439.02%
-	HSY	Long	4/20/1999		0.37	(100.00)	-1.85%	-5.05%	-60.61%
88682	EXDS	Long	4/26/1999		0.17	50.00	0.61%	3.66%	43.90%
37751	EMBU	Long	4/22/1999		0.30	850.00	17.00%	56.67%	680.00%
37728	EMBU	Long	4/22/1999		0.30	1,900.00	19.00%	63.33%	760.00%
36694	EXDS	Long	4/27/1999		0.13	(1,150.00)	-28.05%	-210.37%	-2524.39%
69811	BEAM	Long	4/23/1999		0.27	2,937.50	15.46%	57.98%	695.72%
47892	EBAY	Long	4/27/1999		0.13	(1,743.75)	-19.38%	-145.31%	-1743.75%
						<u>3,375.00</u>	<u>4.54%</u>	<u>15.98%</u>	<u>191.72%</u>
86388	INTC	Long	5/3/1999	5/5/1999	0.07	(500.00)	-1.62%	-24.24%	-290.91%
80027	MCK	Long	5/13/1999		0.63	(875.00)	-13.26%	-20.93%	-251.20%
83194	BEAM	Long	5/27/1999	5/27/1999	0.03	3,250.00	16.25%	487.50%	5850.00%
						<u>1,875.000</u>	<u>3.26%</u>	<u>27.18%</u>	<u>326.13%</u>
26444	BEAM	Long	6/2/1999		0.07	750.00	3.95%	59.21%	710.53%
27475	EMBU	Long	6/2/1999		0.97	(2,050.00)	-20.50%	-21.21%	-254.48%
92731	EBAY	Long	6/4/1999		0.90	(1,615.63)	-24.86%	-27.62%	-331.41%
-	UAL	Long	6/9/1999		0.73	43.75	0.66%	0.90%	10.85%
46520	TSEMF	Long	6/10/1999		0.47	(100.00)	-3.57%	-7.65%	-91.84%
46518	TSEMF	Long	6/10/1999		0.47	(12.50)	-1.79%	-3.83%	-45.92%
46509	TSEMF	Long	6/10/1999		0.47	(125.00)	-1.79%	-3.83%	-45.92%
50069	ANSI	Long	6/24/1999		0.23	1,026.00	10.26%	43.97%	527.66%
53199	BEAM	Long	6/29/1999		0.07	(1,000.00)	-5.26%	-78.95%	-947.37%
54801	PBJHA	Long	6/29/1999		0.07	-	0.00%	0.00%	0.00%
						<u>(3,083.38)</u>	<u>-3.71%</u>	<u>-10.67%</u>	<u>-128.09%</u>

Figure 90-1



# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
 Attorney: Ronald Coslick - 027756-0101  
 FOLEY & LARDNER - (310) 975-7964  
 Sheet 32 of 46

### GROSS RETURN ON SECURITIES REPORT PARAMETERS

Date Range  to  Report Format:  ▼

Stock Selection:

Long Positions ☐

Short Positions ☐

Gain Positions ☐

Loss Positions ☐

Portfolio  ▼

Pick Stocks  ▼

Short-Term Positions ☐

Long-Term Positions ☐

### GROSS RETURN ON SECURITIES REPORT YEAR TO DATE

April 1, 1999 through June 30, 1999

Reference Number	Symbol	Position	Date		Months Held	Gross Gain / Loss	Return on Investment		
			Purchase	Sold			Percentage Increase	Monthly Return	Annualized Return
28231	HSY	Long	4/7/1999		91.49	(368.75)	-6.62%	-0.07%	-0.87%
47104	EXDS	Long	4/23/1999	5/13/1999	0.67	1,862.50	25.17%	37.75%	453.04%
-	HSY	Long	4/20/1999	5/13/1999	0.77	1,000.00	18.18%	23.72%	284.58%
88682	EXDS	Long	4/26/1999	6/23/1999	1.93	1,050.00	12.88%	6.66%	79.97%
37751	EMBU	Long	4/22/1999		90.99	5,850.00	140.96%	1.55%	18.59%
37728	EMBU	Long	4/22/1999		90.99	11,900.00	146.91%	1.61%	19.38%
36694	EXDS	Long	4/27/1999	6/29/1999	2.10	128.13	2.44%	1.16%	13.95%
69811	BEAM	Long	4/23/1999	5/27/1999	1.13	2,312.50	14.40%	12.70%	152.44%
47892	EBAY	Long	4/27/1999	6/14/1999	1.60	(4,518.75)	-42.06%	-26.29%	-315.45%
						<u>19,215.63</u>	<u>27.09%</u>	<u>1.13%</u>	<u>13.60%</u>
86388	INTC	Long	5/3/1999	5/5/1999	0.07	(500.00)	-1.59%	-23.86%	-286.28%
80027	MCK	Long	5/13/1999	6/14/1999	1.07	(975.00)	-13.04%	-12.23%	-146.74%
83194	BEAM	Long	5/27/1999	5/27/1999	0.03	5,812.50	34.70%	1041.04%	12492.54%
						<u>4,337.50</u>	<u>18.61%</u>	<u>1.38%</u>	<u>16.57%</u>
26444	BEAM	Long	6/2/1999	6/4/1999	0.07	1,312.50	7.19%	107.88%	1294.52%
27475	EMBU	Long	6/2/1999		89.62	7,950.00	65.98%	0.74%	8.83%
92731	EBAY	Long	6/4/1999		89.56	(1,890.63)	-23.30%	-0.26%	-3.12%
-	UAL	Long	6/9/1999		89.39	(156.25)	-2.38%	-0.03%	-0.32%
46520	TSEMF	Long	6/10/1999	6/24/1999	0.47	75.00	2.59%	5.54%	66.50%
46518	TSEMF	Long	6/10/1999	6/24/1999	0.47	31.25	4.39%	9.40%	112.78%
46509	TSEMF	Long	6/10/1999	6/24/1999	0.47	312.50	4.39%	9.40%	112.78%
50069	ANSI	Long	6/24/1999		88.89	2,500.00	28.17%	0.32%	3.80%
53199	BEAM	Long	6/29/1999		88.72	(687.50)	-3.44%	-0.04%	-0.46%
54801	PBJHA	Long	6/29/1999		88.72	-	0.00%	0.00%	0.00%
						<u>9,446.88</u>	<u>15.52%</u>	<u>0.49%</u>	<u>5.83%</u>

Figure 90-2

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee

Attorney: Ronald Coslick - 027756-0101

FOLEY & LARDNER - (310) 975-7964

Sheet 33 of 46

### NET CASH RETURN ON SECURITIES REPORT PARAMETERS

Date Range  to  Report Format:  ▼

Stock Selection:

Long Positions ☐

Short Positions ☐

Gain Positions ☐

Loss Positions ☐

Portfolio  ▼

Pick Stocks  ▼

Short-Term Positions ☐

Long-Term Positions ☐

### NET CASH RETURN ON SECURITIES REPORT MONTH END

April 1, 1999 through June 30, 1999

Reference Number	Symbol	Position	Date		Months Held	Gross Gain / Loss	Return on Investment		
			Purchase	Sold			Percentage Increase	Monthly Return	Annualized Return
28231	HSY	Long	4/7/1999		0.80	(2,489.75)	-108.78%	-135.97%	-1631.65%
47104	EXDS	Long	4/23/1999		0.27	1,642.19	48.28%	181.05%	2172.63%
-	HSY	Long	4/20/1999		0.37	780.32	-28.10%	-76.64%	-919.71%
88682	EXDS	Long	4/26/1999		0.17	813.19	-13.58%	-81.49%	-977.91%
37751	EMBU	Long	4/22/1999		0.30	5,800.00	63.49%	211.64%	2539.68%
37728	EMBU	Long	4/22/1999		0.30	11,747.50	70.58%	235.28%	2823.39%
36694	EXDS	Long	4/27/1999		0.13	(108.56)	-194.30%	-1457.28%	-17487.32%
69811	BEAM	Long	4/23/1999		0.27	1,956.88	59.53%	223.23%	2678.71%
47892	EBAY	Long	4/27/1999		0.13	(4,716.25)	-249.04%	-1867.78%	-22413.41%
						15,425.52	-2.70%	-9.49%	-113.88%
86388	INTC	Long	5/3/1999	5/5/1999	0.07	(706.04)	-69.40%	-1040.93%	-12491.13%
80027	MCK	Long	5/13/1999		0.63	(1,136.50)	-36.94%	-58.33%	-700.00%
83194	BEAM	Long	5/27/1999	5/27/1999	0.03	5,006.74	841.67%	25250.13%	303001.59%
						3,164.20	17.06%	142.27%	1707.24%
26444	BEAM	Long	6/2/1999	6/4/1999	0.07	902.88	97.23%	1458.42%	17501.09%
27475	EMBU	Long	6/2/1999		0.97	7,847.50	-18.32%	-18.96%	-227.46%
92731	EBAY	Long	6/4/1999		0.90	(4,679.80)	-116.03%	-128.92%	-1547.04%
-	UAL	Long	6/9/1999		0.73	(258.75)	-1.20%	-1.64%	-19.69%
46520	TSEMF	Long	6/10/1999	6/24/1999	0.47	37.44	-141.01%	-302.16%	-3625.90%
46518	TSEMF	Long	6/10/1999	6/24/1999	0.47	13.68	21.15%	45.32%	543.84%
46509	TSEMF	Long	6/10/1999	6/24/1999	0.47	157.34	12.56%	26.92%	323.03%
50069	ANSI	Long	6/24/1999		0.23	2,401.00	49.00%	209.99%	2519.93%
53199	BEAM	Long	6/29/1999		0.07	(6,860.26)	-962.01%	-14430.19%	-173162.24%
54801	PBJHA	Long	6/29/1999		0.07	(184.00)	-163.90%	-2458.43%	-29501.19%
						(622.97)	-52.75%	-151.77%	-1821.22%

Figure 9P-1

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee

Attorney: Ronald Coslick - 027756-0101

FOLEY & LARDNER - (310) 975-7964

Sheet 34 of 46

### NET CASH RETURN ON SECURITIES REPORT PARAMETERS

Date Range  to  Report Format:  ▼

Stock Selection:

Long Positions ☐

Short Positions ☐

Gain Positions ☐

Loss Positions ☐

Portfolio  ▼

Pick Stocks  ▼

Short-Term Positions ☐

Long-Term Positions ☐

### NET CASH RETURN ON SECURITIES REPORT YEAR TO DATE

April 1, 1999 through June 30, 1999

Reference Number	Symbol	Position	Date		Months Held	Gross Gain / Loss	Return on Investment		
			Purchase	Sold			Percentage Increase	Monthly Return	Annualized Return
28231	HSY	Long	4/7/1999		91.49	(2,489.76)	-118.28%	-1.29%	-15.51%
47104	EXDS	Long	4/23/1999	5/13/1999	0.67	1,642.19	136.77%	205.16%	2461.92%
-	HSY	Long	4/20/1999	5/13/1999	0.77	780.32	68.59%	89.47%	1073.65%
88682	EXDS	Long	4/26/1999	6/23/1999	1.93	813.19	59.12%	30.58%	366.97%
37751	EMBU	Long	4/22/1999		90.99	5,800.00	460.32%	5.06%	60.71%
37728	EMBU	Long	4/22/1999		90.99	11,747.50	474.50%	5.21%	62.58%
36694	EXDS	Long	4/27/1999	6/29/1999	2.10	(108.56)	-15.21%	-7.24%	-86.92%
69811	BEAM	Long	4/23/1999	5/27/1999	1.13	1,956.88	45.12%	39.81%	477.71%
47892	EBAY	Long	4/27/1999	6/14/1999	1.60	(4,716.25)	-605.04%	-378.15%	-4537.76%
						15,425.51	100.26%	4.19%	50.33%
86388	INTC	Long	5/3/1999	5/5/1999	0.07	(706.04)	-69.40%	-1040.93%	-12491.13%
80027	MCK	Long	5/13/1999	6/14/1999	1.07	(1,136.50)	-40.51%	-37.98%	-455.72%
83194	BEAM	Long	5/27/1999	5/27/1999	0.03	5,006.74	1724.06%	51721.95%	620663.35%
						3,164.20	29.95%	2.22%	26.67%
26444	BEAM	Long	6/2/1999	6/4/1999	0.07	902.88	257.90%	3868.56%	46422.78%
27475	EMBU	Long	6/2/1999		89.62	7,847.50	66.80%	0.75%	8.94%
92731	EBAY	Long	6/4/1999		89.56	(4,679.80)	-123.27%	-1.38%	-16.52%
-	UAL	Long	6/9/1999		89.39	(258.75)	-5.30%	-0.06%	-0.71%
46520	TSEMF	Long	6/10/1999	6/24/1999	0.47	37.44	38.38%	82.24%	986.87%
46518	TSEMF	Long	6/10/1999	6/24/1999	0.47	13.68	57.07%	122.28%	1467.41%
46509	TSEMF	Long	6/10/1999	6/24/1999	0.47	157.34	65.53%	140.43%	1685.18%
50069	ANSI	Long	6/24/1999		88.89	2,401.00	114.66%	1.29%	15.48%
53199	BEAM	Long	6/29/1999		88.72	(6,860.27)	-920.10%	-10.37%	-124.45%
54801	PBJHA	Long	6/29/1999		88.72	(184.00)	-163.90%	-1.85%	-22.17%
						(622.98)	17.90%	0.56%	6.72%

Figure 9P-2

# REPLACEMENT SHEET

INVESTMENT PORTFOLIO TRACKING SYSTEM AND  
METHOD  
Inventors: Arthur Willard Chaffee  
Attorney: Ronald Costick - 027756-0101  
FOLEY & LARDNER - (310) 975-7964  
Sheet 35 of 46

**IRS SCHEDULE D (FORM 1040) PARAMETERS**

Date Range  to

Pick Stocks  ▼

Short-Term Positions ☒

Long-Term Positions ☐

Portfolio:  ▼

**IRS SCHEDULE D (FORM 1040)-SHORT TERM**  
April 1, 1999 through June 30, 1999

Symbol	Shares	Date		Sales Price	Cost or Other Basis	Gain or Loss
		Acquired	Sold			
EMBU	100	4/22/1999	5/13/1999	9,262.50	7,620.31	1,642.19
EMBU	100	4/22/1999	5/13/1999	6,500.00	5,719.69	780.32
EXDS	100	4/27/1999	6/23/1999	9,200.00	8,386.81	813.19
				<u>24,962.50</u>	<u>21,726.81</u>	<u>3,235.70</u>

**Figure 9Q**

## Sheet 36 of 46

TAX EXPENSE REPORT														
YEAR TO DATE														
April 1, 1999 through June 30, 1999														
Reference Number	Symbol	Short-Term		State Taxes		Federal Taxes		Long-Term		State Taxes		Federal Taxes		Total Tax Expense
		Realized	Unrealized	Realized	Unrealized	Realized	Unrealized	Realized	Unrealized	Realized	Unrealized	Realized	Unrealized	
		10.00%	10.00%	40.00%	40.00%			8.00%	8.00%	20.00%	20.00%			
28231	HSY	-	-	-	-	-	-	-	(2,489.76)	-	(199.18)	-	(497.95)	(697.13)
47104	EXDS	-	-	-	-	-	-	1,642.19	-	131.38	-	328.44	-	459.81
	HSY	-	-	-	-	-	-	780.32	-	62.43	-	156.06	-	218.49
88682	EXDS	-	-	-	-	-	-	813.19	-	65.06	-	162.64	-	227.69
37751	EMBU	-	-	-	-	-	-	-	5,800.00	-	464.00	-	1,160.00	1,624.00
37728	EMBU	-	-	-	-	-	-	-	11,747.50	-	939.80	-	2,349.50	3,289.30
36694	EXDS	-	-	-	-	-	-	(108.56)	-	(8.68)	-	(21.71)	-	(30.40)
69811	BEAM	-	-	-	-	-	-	1,956.88	-	156.55	-	391.38	-	547.93
47892	EBAY	-	-	-	-	-	-	(4,716.25)	-	(377.30)	-	(943.25)	-	(1,320.55)
		-	-	-	-	-	-	367.77	15,057.74	29.42	1,204.62	73.55	3,011.55	4,319.14
86388	INTC	-	-	-	-	-	-	(705.04)	-	(56.48)	-	(141.21)	-	(197.69)
80027	MCK	-	-	-	-	-	-	(1,136.50)	-	(90.92)	-	(227.30)	-	(318.22)
83194	BEAM	-	-	-	-	-	-	5,006.74	-	400.54	-	1,001.35	-	1,401.89
		-	-	-	-	-	-	3,164.20	-	253.14	-	632.84	-	886.98
26444	BEAM	-	-	-	-	-	-	902.88	-	72.23	-	180.58	-	252.81
27475	EMBU	-	-	-	-	-	-	7,847.50	-	1,255.60	-	1,569.50	-	2,825.10
92731	EBAY	-	-	-	-	-	-	(4,679.81)	-	-	-	(935.96)	-	(51.75)
	UAL	-	-	-	-	-	-	(258.75)	-	-	-	-	-	10.48
46520	TSEMF	-	-	-	-	-	-	37.44	-	3.00	-	7.49	-	3.83
46518	TSEMF	-	-	-	-	-	-	13.68	-	1.09	-	2.74	-	44.06
46509	TSEMF	-	-	-	-	-	-	157.34	-	12.59	-	31.47	-	864.36
50069	ANSI	-	-	-	-	-	-	-	2,401.00	-	384.16	-	480.20	(1,372.06)
53199	BEAM	-	-	-	-	-	-	(6,860.29)	-	-	-	-	-	(36.80)
54801	PBUHA	-	-	-	-	-	-	(184.00)	-	-	-	-	-	1,604.06
		-	-	-	-	-	-	1,111.34	(1,734.35)	88.91	1,639.76	222.27	(346.87)	6,809.18
		-	-	-	-	-	-	4,643.31	13,323.38	371.46	2,844.38	928.66	2,664.68	6,809.18

**Figure 9R**

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
 Attorney: Ronald Coslick - 027756-0101  
 FOLEY & LARDNER - (310) 975-7964  
 Sheet 37 of 46

**TAXES PAYABLES REPORT PARAMETERS**

Date Range: 4/1/1999 to 6/30/1999

Stock Selection:

Long Positions ☐ Pick Stocks ☐ ALL ☒

Short Positions ☐

Gain Positions ☐

Loss Positions ☐ Short-Term ☐

Portfolio ☐ Long-Term ☐

AWC ☒ Proceed ☐

TAXES PAYABLE REPORT														
YEAR TO DATE														
April 1, 1999 through June 30, 1999														
Reference Number	Symbol	Short-Term		State Taxes		Federal Taxes		Long-Term		State Taxes		Federal Taxes		Net Tax Payable
		Gains/Losses	Realized	Unrealized	Realized	Unrealized	Realized	Gains/Losses	Realized	Unrealized	Realized	Unrealized	Gross Tax Payable	
					10.00%	10.00%	40.00%			8.00%	8.00%	20.00%	20.00%	
28231	HSY	-	-	-	-	-	-	-	-	-	-	-	(497.95)	(697.13)
47104	EXDS	-	-	-	-	-	-	-	-	-	-	-	-	400.00
	HSY	-	-	-	-	-	-	-	-	-	-	-	-	1,000.00
88682	EXDS	-	-	-	-	-	-	-	-	-	-	-	-	218.49
37751	EMBU	-	-	-	-	-	-	-	-	-	-	-	-	227.69
37728	EMBU	-	-	-	-	-	-	-	-	-	-	-	-	1,624.00
36694	EXDS	-	-	-	-	-	-	-	-	-	-	-	-	3,289.30
69811	BEAM	-	-	-	-	-	-	-	-	-	-	-	-	(30.40)
47892	EBAY	-	-	-	-	-	-	-	-	-	-	-	-	547.93
														(1,320.55)
														1,400.00
														2,919.14
86388	INTC	-	-	-	-	-	-	-	-	-	-	-	-	(197.69)
80027	MCK	-	-	-	-	-	-	-	-	-	-	-	-	(318.22)
83194	BEAM	-	-	-	-	-	-	-	-	-	-	-	-	1,401.89
														885.98
26444	BEAM	-	-	-	-	-	-	-	-	-	-	-	-	252.81
27475	EMBU	-	-	-	-	-	-	-	-	-	-	-	-	2,825.10
92731	EBAY	-	-	-	-	-	-	-	-	-	-	-	-	(935.96)
	LIAL	-	-	-	-	-	-	-	-	-	-	-	-	(51.75)
46520	TSEMF	-	-	-	-	-	-	-	-	-	-	-	-	10.48
46518	TSEMF	-	-	-	-	-	-	-	-	-	-	-	-	3.83
46509	TSEMF	-	-	-	-	-	-	-	-	-	-	-	-	44.06
50069	ANSI	-	-	-	-	-	-	-	-	-	-	-	-	864.36
53199	BEAM	-	-	-	-	-	-	-	-	-	-	-	-	(1,372.06)
54801	PBUHA	-	-	-	-	-	-	-	-	-	-	-	-	(36.80)
														1,604.06
														1,400.00
														5,409.18
Gross Taxes Payable														
Taxes Paid														
Net Taxes Payable														

Figure 9S

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
 Attorney: Ronald Coslick - 027756-0101  
 FOLEY & LARDNER - (310) 975-7964  
 Sheet 38 of 46

CASH NET PROCEEDS REPORT PARAMETERS					
Date Range	<input type="text" value="4/1/1999"/>	to	<input type="text" value="6/30/1999"/>	Report Format:	<input type="text" value="Net Cash-YTD"/>
Stock Selection:					
Long Positions	<input type="radio"/>				
Short Positions	<input type="radio"/>	Pick Stocks	<input type="text" value="ALL"/>		
Gain Positions	<input type="radio"/>				
Loss Positions	<input type="radio"/>	Short-Term Positions	<input type="radio"/>		
Portfolio	<input type="text" value="AWC"/>	Long-Term Positions	<input type="radio"/>	<input type="button" value="Proceed"/>	

CASH NET PROCEEDS REPORT											
YEAR TO DATE											
April 1, 1999 through June 30, 1999											
Reference Number	Symbol	Position	Date Sold	Net Gain/Loss	Unrealized Gains/Losses	Realized Gains/Losses	Commissions and Costs	Margin Interest	Net Proceeds b/f Taxes	Reserve for Taxes	Net Proceeds after Taxes
28231	HSY	Long		(368.75)	(368.75)	-	62.50	2,058.52	-	-	-
47104	EXDS	Long	5/13/1999	1,862.50	-	1,862.50	205.31	15.00	1,642.19	459.81	1,182.38
-	HSY	Long	5/13/1999	1,000.00	-	1,000.00	205.31	14.38	780.32	218.49	561.83
88682	EXDS	Long	6/23/1999	1,050.00	-	1,050.00	236.81	-	813.19	227.69	585.50
37751	EMBU	Long		5,850.00	5,850.00	-	50.00	-	-	-	-
37728	EMBU	Long		11,900.00	11,900.00	-	152.50	-	-	-	-
36694	EXDS	Long	6/29/1999	128.13	-	128.13	236.68	-	(108.56)	(30.40)	(78.16)
69811	BEAM	Long	5/27/1999	2,312.50	-	2,312.50	355.62	-	1,956.88	547.93	1,408.95
47892	EBAY	Long	6/14/1999	(4,518.75)	-	(4,518.75)	137.50	60.00	(4,716.25)	(1,320.55)	(3,395.70)
				<u>19,215.63</u>	<u>17,381.25</u>	<u>1,834.38</u>	<u>1,642.23</u>	<u>2,147.89</u>	<u>367.77</u>	<u>102.98</u>	<u>264.79</u>
86388	INTC	Long	5/5/1999	(500.00)	-	(500.00)	206.04	-	(706.04)	(197.69)	(508.35)
80027	MCK	Long	6/14/1999	(975.00)	-	(975.00)	137.50	24.00	(1,136.50)	(318.22)	(818.28)
83194	BEAM	Long	5/27/1999	5,812.50	-	5,812.50	805.76	-	5,006.74	1,401.89	3,604.85
				<u>4,337.50</u>	<u>-</u>	<u>4,337.50</u>	<u>1,149.30</u>	<u>24.00</u>	<u>3,164.20</u>	<u>885.98</u>	<u>2,278.22</u>
26444	BEAM	Long	6/4/1999	1,312.50	-	1,312.50	405.62	4.00	902.88	252.81	650.07
27475	EMBU	Long		7,950.00	7,950.00	-	102.50	-	-	-	-
92731	EBAY	Long		(1,890.63)	(1,890.63)	-	102.50	2,686.69	-	-	-
-	UAL	Long		(156.25)	(156.25)	-	102.50	-	-	-	-
46520	TSEMF	Long	6/24/1999	75.00	-	75.00	37.56	-	37.44	10.48	26.96
46518	TSEMF	Long	6/24/1999	31.25	-	31.25	17.57	-	13.68	3.83	9.85
46509	TSEMF	Long	6/24/1999	312.50	-	312.50	155.16	-	157.34	44.06	113.28
50069	ANSI	Long		2,500.00	2,500.00	-	99.00	-	-	-	-
53199	BEAM	Long		(687.50)	(687.50)	-	184.00	5,988.80	-	-	-
54801	PBJHA	Long		-	-	-	184.00	-	-	-	-
				<u>9,446.88</u>	<u>7,715.63</u>	<u>1,731.25</u>	<u>1,390.41</u>	<u>8,679.49</u>	<u>1,111.34</u>	<u>311.18</u>	<u>800.16</u>
Taxes Paid										1,400.00	
Year to Date Totals				<u>33,000.00</u>	<u>25,096.88</u>	<u>7,903.13</u>	<u>4,181.94</u>	<u>10,851.39</u>	<u>4,643.31</u>	<u>(99.87)</u>	<u>3,343.18</u>
<input type="button" value="Print"/> <input type="button" value="Graph"/>											

Figure 9T

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
 Attorney: Ronald Coslick - 027756-0101  
 FOLEY & LARDNER - (310) 975-7964  
 Sheet 39 of 46

### NET WORTH REPORT PARAMETERS

Date Range  to

Report Format:

Stock Selection:

Long Positions ☐

Short Positions ☐

Gain Positions ☐

Loss Positions ☐

Portfolio

Pick Stocks

Short-Term Positions ☐

Long-Term Positions ☐

### NET WORTH REPORT

#### YEAR TO DATE

April 1, 1999 through June 30, 1999

Reference Number	Symbol	Position	Date		Current Value	Sold Basis	Bought Value	Gain/Loss	Commissions and Costs	Margin Interest	State and Federal Taxes	Net Worth
			Bought	Sold								
Cash Balance												15,493.43
28231	HSY	Long	4/7/1999		5,200.00	-	5,568.75	(368.75)	62.50	2,058.52	(697.13)	(1,792.63)
47104	EXDS	Long	4/23/1999	5/13/1999	-	9,262.50	7,400.00	1,862.50	205.31	15.00	459.81	1,182.38
-	HSY	Long	4/20/1999	5/13/1999	-	6,500.00	5,500.00	1,000.00	205.31	14.38	218.49	561.83
88682	EXDS	Long	4/26/1999	6/23/1999	-	9,200.00	8,150.00	1,050.00	236.81	-	227.69	585.50
37751	EMBU	Long	4/22/1999		10,000.00	-	4,150.00	5,850.00	50.00	-	1,624.00	4,176.00
37728	EMBU	Long	4/22/1999		20,000.00	-	8,100.00	11,900.00	152.50	-	3,289.30	8,458.20
36694	EXDS	Long	4/27/1999	6/29/1999	-	5,378.13	5,250.00	128.13	236.68	-	(30.40)	(78.16)
69811	BEAM	Long	4/23/1999	5/27/1999	-	18,375.00	16,062.50	2,312.50	355.62	-	547.93	1,408.95
47892	EBAY	Long	4/27/1999	6/14/1999	-	6,225.00	10,743.75	(4,518.75)	137.50	60.00	(1,320.55)	(3,395.70)
					35,200.00	54,940.63	70,925.00	19,215.63	1,642.23	2,147.89	4,319.14	11,106.36
86388	INTC	Long	5/3/1999	5/5/1999	-	30,937.50	31,437.50	(500.00)	206.04	-	(197.69)	(508.35)
80027	MCK	Long	5/13/1999	6/14/1999	-	6,500.00	7,475.00	(975.00)	137.50	24.00	(318.22)	(818.28)
83194	BEAM	Long	5/27/1999	5/27/1999	-	22,562.50	16,750.00	5,812.50	805.76	-	1,401.89	3,604.85
					-	60,000.00	55,662.50	4,337.50	1,149.30	24.00	885.98	2,278.22
26444	BEAM	Long	6/2/1999	6/4/1999	-	19,562.50	18,250.00	1,312.50	405.62	4.00	252.81	650.07
27475	EMBU	Long	6/2/1999		20,000.00	-	12,050.00	7,950.00	102.50	-	2,825.10	5,022.40
92731	EBAY	Long	6/4/1999		6,225.00	-	8,115.63	(1,890.63)	102.50	2,686.69	(935.96)	(3,743.85)
-	UAL	Long	6/9/1999		6,400.00	-	6,556.25	(156.25)	102.50	-	(51.75)	(207.00)
46520	TSEMF	Long	6/10/1999	6/24/1999	-	2,975.00	2,900.00	75.00	37.56	-	10.48	26.96
46518	TSEMF	Long	6/10/1999	6/24/1999	-	743.75	712.50	31.25	17.57	-	3.83	9.85
46509	TSEMF	Long	6/10/1999	6/24/1999	-	7,437.50	7,125.00	312.50	155.16	-	44.06	113.28
50069	ANSI	Long	6/24/1999		11,375.00	-	8,875.00	2,500.00	99.00	-	864.36	1,536.64
53199	BEAM	Long	6/29/1999		19,312.50	-	20,000.00	(687.50)	184.00	5,988.80	(1,372.06)	(5,488.24)
54801	PBJHA	Long	6/29/1999		1,500.00	-	1,500.00	-	184.00	-	(36.80)	(147.20)
					64,812.50	30,718.75	86,084.38	9,446.88	1,390.41	8,679.49	1,604.06	(2,227.09)
Year to Date Totals					100,012.50	145,659.38	212,671.88	33,000.00	4,181.94	10,851.39	6,809.18	26,650.93

Figure 9U



# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee

Attorney: Ronald Coslick - 027756-0101

FOLEY & LARDNER - (310) 975-7964

Sheet 40 of 46

HOLD		NET WORTH FINANCIAL POSITION STATEMENT			
		FINANCIAL POSITION			
		as of June 30, 1999			
		<u>Assets</u>		<u>Liabilities</u>	
Cash				Margin	2,500.00
				Margin Interest	97.98
				Cash Invested	3,102.50
					2,500.00
Short-Term Positions:				State Taxes Payable:	
Unrealized-Gains				Unrealized Gains/Losses:	
Unrealized-Losses	6,500.00			Short-Term	79.95
				Long-Term	79.95
Long-Term Positions:				Realized Gains/Losses:	
Unrealized-Gains				Short-Term	-
Unrealized-Losses				Long-Term	-
				Federal Taxes Payable:	
				Unrealized Gains/Losses:	
				Short-Term	319.81
				Long-Term	319.81
				Realized Gains/Losses:	
				Short-Term	-
				Long-Term	-
Reserve for Taxes on Sold	-				6,100.24
Net Proceeds on Sold	-			Net Worth	399.76
		6,500.00	-		6,500.00
<b>New Worth Activity Statement</b>					
April 1 through June 30					
			% of Total	% of Bought	% Cash
			Revenues	Basis	Invested
			1,000.00	5,602.50	3,102.50
Revenues:					
Short-Term Gains/Losses:					
Unrealized:					
Gains	1,000.00				
Losses	-	1,000.00			
Realized:					
Gains	-				
Losses	-	-	1,000.00	100.00%	17.85%
					32.23%
Long-Term Gains/Losses:					
Unrealized:					
Gains	-				
Losses	-	-			
Realized:					
Gains	-				
Losses	-	-	-	0.00%	0.00%
					0.00%
Net Gains/Losses			1,000.00	100.00%	17.85%
					32.23%
Dividends:					
Cash	-				
Stock	-	-	-	0.00%	0.00%
					0.00%
Interest Income			-	0.00%	0.00%
			1,000.00	100.00%	17.85%
					32.23%
Expenses:					
Commissions	102.50			0.00%	0.00%
Other Costs	-			0.00%	0.00%
Margin Interest	97.98	200.48	20.05%	3.58%	6.46%
Net Worth before Taxes			799.52	79.95%	14.27%
State and Federal Taxes			399.76	39.98%	7.14%
Net Worth after Taxes			399.76	39.98%	7.14%
					12.89%
					12.89%
		<a href="#">Print</a>		<a href="#">Graph</a>	

Figure 9V-1

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee

Attorney: Ronald Coslick - 027756-0101

FOLEY & LARDNER - (310) 975-7964

Sheet 41 of 46

SOLD		NET WORTH FINANCIAL POSITION STATEMENT			
		FINANCIAL POSITION			
		as of June 30, 1999			
<u>Assets</u>		<u>Liabilities</u>			
Cash		Margin		-	
		Margin Interest		-	
		Cash Invested		-	
Short-Term Positions:		State Taxes Payable:			
Unrealized-Gains		Unrealized Gains/Losses:			
Unrealized-Losses		Short-Term	-		
		Long-Term	-		
		Realized Gains/Losses:			
Long-Term Positions:		Short-Term	-		
Unrealized-Gains		Long-Term	62.43	62.43	
Unrealized-Losses					
		Federal Taxes Payable:			
		Unrealized Gains/Losses:			
		Short-Term	-		
		Long-Term	-		
		Realized Gains/Losses:			
		Short-Term	-		
Reserve for Taxes on Sold	218.49	Long-Term	156.06	156.06	218.49
				218.49	
Net Proceeds on Sold	561.83	Net Worth		561.83	
	<u>780.32</u>			<u>780.32</u>	
<b>New Worth Activity Statement</b>					
April 1 through June 30					
		% of Total	% of Bought	% Cash	
		Revenues	Basis	Invested	
		#####	#####	#####	
<b>Revenues:</b>					
Short-Term Gains/Losses:					
Unrealized:					
Gains	-				
Losses	-				
Realized:					
Gains	-				
Losses	-				
			0.00%	0.00%	0.00%
Long-Term Gains/Losses:					
Unrealized:					
Gains	-				
Losses	-				
Realized:					
Gains	1,000.00				
Losses	-	1,000.00	1,000.00	100.00%	17.85%
Net Gains/Losses			1,000.00	100.00%	32.23%
Dividends:					
Cash	-				
Stock	-				
			0.00%	0.00%	0.00%
Interest Income					
			0.00%	0.00%	0.00%
		1,000.00	100.00%	17.85%	32.23%
<b>Expenses:</b>					
Commissions	205.31		0.00%	0.00%	0.00%
Other Costs	-		0.00%	0.00%	0.00%
Margin Interest	14.38	219.69	21.97%	3.92%	7.08%
Net Worth before Taxes		780.32	78.03%	13.93%	25.15%
State and Federal Taxes		218.49	21.85%	3.90%	7.04%
Net Worth after Taxes		561.83	56.18%	10.03%	18.11%
		<u>Print</u>	<u>Graph</u>		

Figure 9V-2

INVESTMENT PORTFOLIO TRACKING SYSTEM AND  
METHOD

Inventors: Arthur Willard Chaffee

Attorney: Ronald Costick - 027756-0101

FOLEY &amp; LARDNER - (310) 975-7964

Sheet 42 of 46

## NET WORTH FINANCIAL POSITION STATEMENT

## FINANCIAL POSITION

as of June 30, 1999

<u>Assets</u>		<u>Liabilities</u>	
Cash	15,493.43	Margin	16,000.00
		Margin Interest	10,734.03
		Cash Invested	59,955.13
Short-Term Positions:		State Taxes Payable:	
Unrealized-Gains		Unrealized Gains/Losses (deferred):	
Unrealized-Losses	100,012.50	Short-Term	
		Long-Term	2,844.38
			2,844.38
Long-Term Positions:		Realized Gains/Losses (current):	
Unrealized-Gains		Short-Term	
Unrealized-Losses		Long-Term	371.46
			371.46
		Federal Taxes Payable:	
		Unrealized Gains/Losses (deferred):	
		Short-Term	
		Long-Term	2,664.67
			2,664.67
		Realized Gains/Losses (current):	
		Short-Term	
		Long-Term	928.66
			928.66
Reserve for Taxes on Sold	(99.87)		93,498.33
		Taxes Paid	(1,400.00)
			92,098.33
Net Proceeds on Sold	3,343.18	Net Worth	26,650.91
	118,749.24		118,749.24

New Worth Activity Statement

April 1 through June 30

			% of Total Revenues	% of Bought Basis	% Cash Invested
Revenues:			50,966.66	215,247.29	169,747.29
Short-Term Gains/Losses:					
Unrealized:					
Gains	25,096.88				
Losses	25,096.88				
Realized:					
Gains	7,903.13				
Losses	7,903.13	33,000.00	64.75%	15.33%	19.44%
Long-Term Gains/Losses:					
Unrealized:					
Gains	27,796.00				
Losses	(14,472.65)	13,323.35			
Realized:					
Gains	11,310.66				
Losses	(6,667.35)	4,643.31	17,966.66	35.25%	8.35%
Net Gains/Losses			50,966.66	100.00%	23.68%
Dividends:					
Cash	-				
Stock	-		0.00%	0.00%	0.00%
Interest Income					
			50,966.66	100.00%	23.68%
Expenses:					
Commissions	4,181.94				
Other Costs	-				
Margin Interest	10,851.40	15,033.34	29.50%	6.98%	8.86%
Net Worth before Taxes		35,933.31	70.50%	16.69%	21.17%
State and Federal Taxes		6,809.17	13.36%	3.16%	4.01%
Net Worth after Taxes		29,124.14	57.14%	13.53%	17.16%

Print

Graph

Figure 9V-3

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
 Attorney: Ronald Coslick - 027756-0101  
 FOLEY & LARDNER - (310) 975-7964  
 Sheet 43 of 46

SECTOR DEFINITION					
User:	AWC				
Stocks in Portfolio:	Current Classification:	Select Classification 1:	Input Weight in %	Select Classification 2:	Input Weight in %
HSY	Confectioners	Confectioners	100		
EXDS	Internet	Internet	100		
EMBU	Internet	Internet	100		
BEAM	Med. Instruments	Med. Instruments	100		
EBAY	Internet	Internet	100		
INTC	Semiconductors	Semiconductors	100		
MCK	Drugs	Drugs	100		
AOL	Internet	Internet	100		

Go

Figure 10

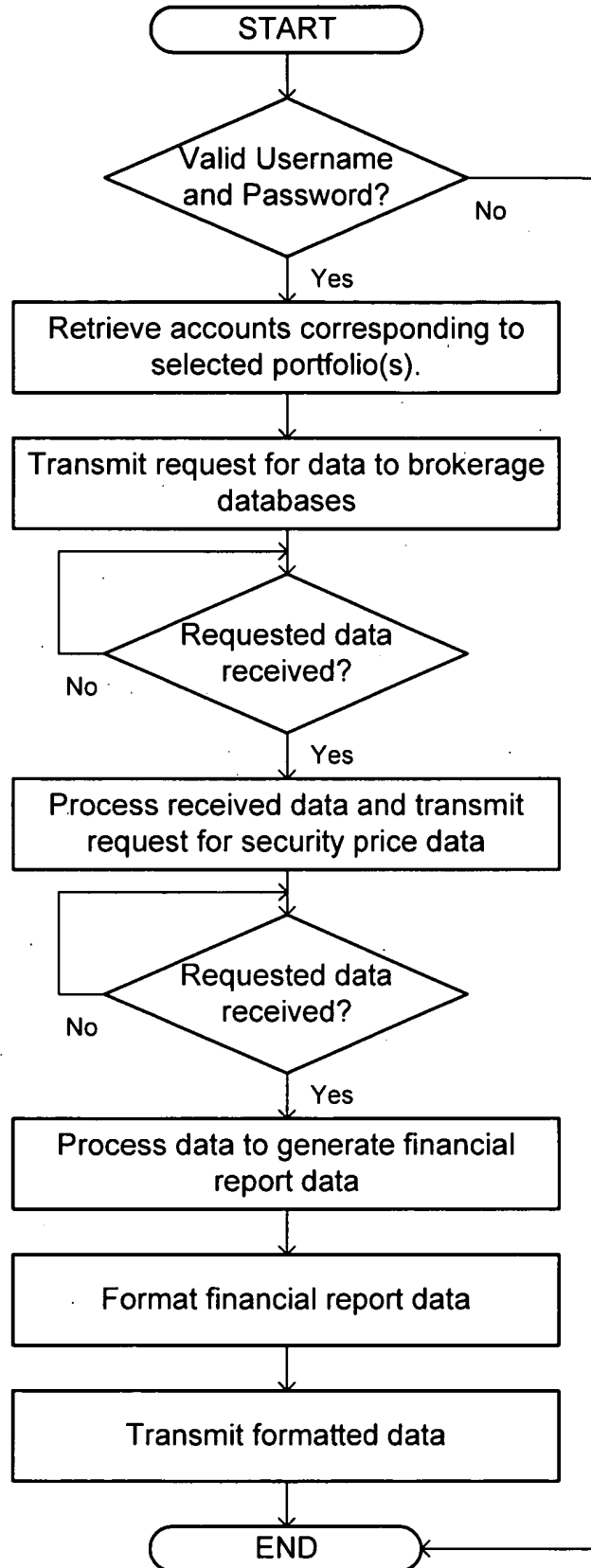
## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee

Attorney: Ronald Coslick - 027756-0101

FOLEY &amp; LARDNER - (310) 975-7964

Sheet 44 of 46

**Figure 11**

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
 Attorney: Ronald Costick - 027756-0101  
 FOLEY & LARDNER - (310) 975-7964  
 Sheet 45 of 46

**WHAT-IF Scenario**

Date:  Portfolio:  ▼

Stock Selection: 

HSY ▲  
 EXDS  
 EMBU  
 BEAM  
 EBAY  
 INTC  
 MCK  
 ALL ▼

Hypothetical Sales:

FINANCIAL POSITION				
as of June 30, 1999				
Assets		Liabilities & Equity		
Cash	<input type="text" value="5,000"/>	Margin	<input type="text" value="16,000"/>	
Cash-Net Proceeds on Sold	<input type="text" value="2,554"/>	Margin Interest	<input type="text" value="382"/>	
Securities Current Basis	<input type="text" value="100,013"/>	Taxes Payable	<input type="text" value="12,743"/>	
		Cash Invested	<input type="text" value="59,955"/>	
Reserve for Taxes on Sold	<input type="text" value="2,089"/>	Net Worth	<input type="text" value="20,575"/>	
	<u><input type="text" value="109,656"/></u>		<u><input type="text" value="109,656"/></u>	
Net Worth Activity		Total Revenues	Bought Basis	Cash Invested
		<u>33,000</u>	<u>67,013</u>	<u>59,955</u>
Net Gains/Losses	<input type="text" value="33,000"/>	100.00%	49.24%	55.04%
Dividends/Interest	<input type="text" value=""/>	0.00%	0.00%	0.00%
	33,000	100.00%	49.24%	55.04%
Commissions & Costs	<input type="text" value="4,182"/>	12.67%	6.24%	6.98%
Margin Interest	<input type="text" value="499"/>	1.51%	0.75%	0.83%
	<u>4,681</u>	<u>14.19%</u>	<u>6.99%</u>	<u>7.81%</u>
Net Worth before Taxes	<input type="text" value="28,319"/>	85.81%	42.26%	47.23%
State and Federal Taxes	<input type="text" value="12,743"/>	38.62%	19.02%	21.25%
Net Worth after Taxes	<input type="text" value="15,575"/>	<input type="text" value="47.20%"/>	<input type="text" value="23.24%"/>	<input type="text" value="25.98%"/>
	<input type="button" value="Print"/>	<input type="button" value="Graph"/>		

Figure 12

# REPLACEMENT SHEET

## INVESTMENT PORTFOLIO TRACKING SYSTEM AND METHOD

Inventors: Arthur Willard Chaffee  
Attorney: Ronald Coslick - 027756-0101  
FOLEY & LARDNER - (310) 975-7964  
Sheet 46 of 46

Portfolio Comparison		
Date: <input type="text" value="6/30/1999"/>		
Portfolio Selection:		Select Indices:
<div><div>AWC</div><div>XYZ</div><div>Semiconductor</div><div>Internet</div><div>Retail</div><div>Transportation</div><div>Finance</div><div>ALL</div></div>	<input type="button" value="COMPARE"/>	<div><div>DJ Industrial</div><div>S&amp;P 500</div><div>Nasdaq Composite</div><div>Russell 2000</div><div>Semiconductor</div><div>Internet</div><div>Retail</div><div>Transportation</div></div> <div>OR specify a stock</div> <div><input type="text" value="INTC"/></div>

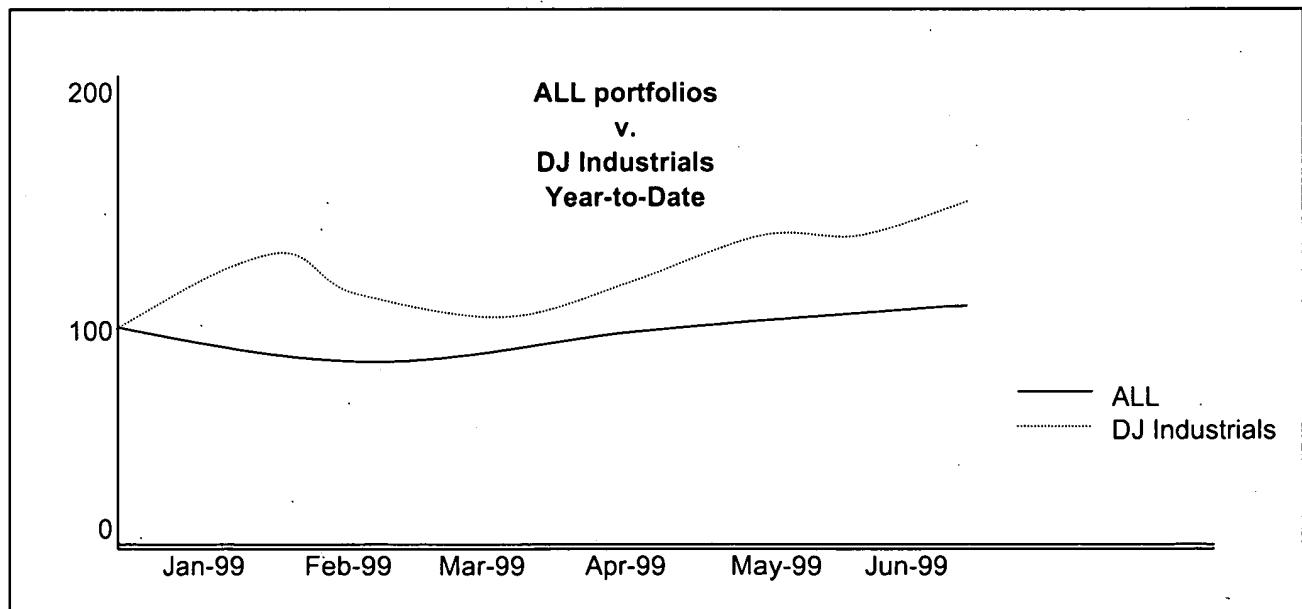


Figure 13